# Lecture Hierarchical Planning

Chapter: Search

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### **Overview:**

- 1 Introduction to Search
  - Formal Foundations of Search
- 2 Uninformed Search
  - Breadth-First Search (BFS)
  - Depth-First Search (DFS)
  - Uniform Cost Search (UCS)
- 3 Heuristics
  - Examples for Heuristics
  - Definitions and Properties of Heuristics

# 4 Informed Search

- Greedy Search
- A\* Search
- Greedy A\* Search

Introduction to Search ●○○○○○○○○		
Introduction		

#### Example



How to – automatically – find a(n optimal/good) way from *Arad* to *Bucharest*?

(The edge numbers indicate action/traversal costs.)



Chapter: Search by Dr. Pascal Bercher

Introduction to Search		
Introduction		
Introduction		



Introduction to Search		
Introduction		
Introduction		



Introduction to Search		
Introduction		
Introduction		

How do these problems look like?

They have an initial state, one or more goal states, and a set of actions with costs.



Introduction to Search		
Introduction		
Introduction		

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- More formally, search problems are defined upon *transition systems*.



Introduction to Search		
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- Note:
  - The transition system's state does not necessarily coincide with a state known from planning! Also possible, e.g., partial plan.



Introduction to Search		
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  - The transition system's state does not necessarily coincide with a state known from planning! Also possible, e.g., partial plan.
  - Also, don't confuse the transition system's state with a search node. Search nodes can contain more information, like the path (sequence of transitions) discovered to reach the respective node.



Introduction to Search		
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- More formally, search problems are defined upon *transition systems*.
- Note:
  - The transition system's state does not necessarily coincide with a state known from planning! Also possible, e.g., partial plan.
  - Also, don't confuse the transition system's state with a search node. Search nodes can contain more information, like the path (sequence of transitions) discovered to reach the respective node.
  - Depending on which problem to solve, we might need to rely upon infinite state transition systems (e.g., for POCL and for hierarchical problems).



Introduction to Search		
Introduction		
Introduction, cont'd		



Introduction to Search		
Introduction		
Introduction, cont'd		

That depends on the definition of the transition system. Normally, a solution to a search problem is a sequence of transitions from the transition system's initial state to a goal state. Its cost is the sum of the actions' costs.



Introduction to Search		
Introduction		
Introduction, cont'd		

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Introduction to Search		
Introduction		
Introduction, cont'd		

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Introduction to Search		
Introduction		
Introduction, cont'd		

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  - it is the cheapest one, or
  - if it is the shortest one (if there are no action costs).



Introduction to Search		
Introduction		
More examples		

Pathfinding (cf. first slide)



Introduction to Search		
Introduction		
More examples		

- Pathfinding (cf. first slide)
- All the ones from the last lecture!



Introduction to Search		
Introduction		
More examples		

- Pathfinding (cf. first slide)
- All the ones from the last lecture!
- Anything that can be described with a transition system, e.g., *Cannibals and Missionaries*, ...



Introduction to Search		
Formal Foundations of Search		
How to Search?		

 Maintain a so-called *search fringe* (also called *frontier* or *open list*) – a set of candidate search nodes (containing the respective state and further information).

fringe:



Introduction to Search		
Formal Foundations of Search		
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fringe:  $\{(Arad; cost:0)\}$ 



Introduction to Search		
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fringe: {(*Arad*; cost:0)} selected: —



Introduction to Search		
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fringe: Ø selected: (*Arad*; <sup>cost:0</sup> )



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copyright: see slide 46[1] (modified)

fringe:

Ø

selected: (Arad; cost:0)

Introduction to Search		
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fringe:

{(*Zerind*; cost:75), (*Sibiu*; cost:140), (*Timisoara*; cost:118), (*Timisoara*; etc.

selected:



Introduction to Search		
Formal Foundations of Search		
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(Some of the) open questions:

Which node is the "most promising" search node?



Introduction to Search		
Formal Foundations of Search		
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- Which node is the "most promising" search node?
- Which nodes to put back to the fringe?



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- Which nodes to put back to the fringe?
  - What about states that were already visited?



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- Which node is the "most promising" search node?
- Which nodes to put back to the fringe?
  - What about states that were already visited?
  - What about states that are solutions?

Introduction to Search		
Formal Foundations of Search		
Node Selections		



Introduction to Search		
Formal Foundations of Search		
Node Selections		

Base this estimate on well-informed heuristics  $\rightarrow$  informed search



Introduction to Search		
Formal Foundations of Search		
Node Selections		

- $\blacksquare$  Base this estimate on well-informed heuristics  $\rightarrow$  informed search
  - Requires heuristics, but



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  - potentially, they are *much* more efficient.



Introduction to Search		
Formal Foundations of Search		
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- $\blacksquare$  Base this estimate on well-informed heuristics  $\rightarrow$  informed search
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- Do not use any information about the goal  $\rightarrow$  uninformed, blind search



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  - Works also if no heuristics are known.



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  - Requires heuristics, but
  - potentially, they are *much* more efficient.
- $\blacksquare$  Do not use any information about the goal  $\rightarrow$  uninformed, blind search
  - Works also if no heuristics are known.
  - Often very inefficient compared to informed search.


Introduction to Search		
Formal Foundations of Search		
Node Insertions		



Introduction to Search		
Formal Foundations of Search		
Node Insertions		

• Just visit them again  $\rightarrow$  tree search



Introduction to Search		
Formal Foundations of Search		
Node Insertions		

- Just visit them again  $\rightarrow$  tree search
  - Potentially less efficient (e.g., imagine a cyclic transition system without a reachable solution)



Introduction to Search		
Formal Foundations of Search		
Node Insertions		

- Just visit them again  $\rightarrow$  *tree search* 
  - Potentially less efficient (e.g., imagine a cyclic transition system without a reachable solution)
  - It is easier to obtain optimality guarantees



Introduction to Search		
Formal Foundations of Search		
Node Insertions		

- Just visit them again  $\rightarrow$  *tree search* 
  - Potentially less efficient (e.g., imagine a cyclic transition system without a reachable solution)
  - It is easier to obtain optimality guarantees
- Ignore duplicates  $\rightarrow$  graph search



Introduction to Search		
Formal Foundations of Search		
Node Insertions		

- Just visit them again  $\rightarrow$  *tree search* 
  - Potentially less efficient (e.g., imagine a cyclic transition system without a reachable solution)
  - It is easier to obtain optimality guarantees
- Ignore duplicates  $\rightarrow$  graph search
  - Requires a visited list (also called closed list or explored set).



Introduction to Search		
Formal Foundations of Search		
Node Insertions		

- Just visit them again  $\rightarrow$  *tree search* 
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  - Requires a visited list (also called closed list or explored set).
  - Potentially more efficient (but may also require more space due to storing that set).



Introduction to Search		
Formal Foundations of Search		
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- Just visit them again  $\rightarrow$  *tree search* 
  - Potentially less efficient (e.g., imagine a cyclic transition system without a reachable solution)
  - It is easier to obtain optimality guarantees
- Ignore duplicates  $\rightarrow$  graph search
  - Requires a visited list (also called closed list or explored set).
  - Potentially more efficient (but may also require more space due to storing that set).
  - We need to be more careful if we want to guarantee optimal solutions.



Introduction to Search				
Formal Foundations of Search				
Tree Search vs. Gra	aph Search			
function TREE-SEARCI	H( <i>problem</i> ) <b>returns</b> a sol	lution, or failure		
initialize the frontier u	using the initial state of $p$	roblem		
loop do				
if the frontier is er	npty <b>then return</b> failure	·····		
if the node contain	e and remove it from the f	ronuer n the corresponding solution	<b>an</b>	
expand the chosen node, adding the resulting nodes to the frontier				
function GRAPH-SEAR	CH(problem) returns a s	solution, or failure		
initialize the frontier u	using the initial state of $p$	roblem		

#### initialize the explored set to be empty

#### loop do

if the frontier is empty **then return** failure choose a leaf node and remove it from the frontier if the node contains a goal state **then return** the correspon

if the node contains a goal state then return the corresponding solution

if not in the explored set

add the node to the explored set

expand the chosen node, adding the resulting nodes to the frontier

An informal description of the general tree-search and graph-search algorithms. The parts of GRAPH-SEARCH marked in bold italic are the additions needed to handle repeated states.

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Introduction to Search			
Formal Foundations of Search			
Basic Definitions and	d Properties		

*b* Maximal branching factor of the transition system.



Introduction to Search			
Formal Foundations of Search			
Basic Definitions an	d Properties		

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- *d* Goal depth, i.e., shortest path of transitions from initial state to a goal state.



Introduction to Search			
Formal Foundations of Search			
Basic Definitions and	d Properties		

- *b* Maximal branching factor of the transition system.
- *d* Goal depth, i.e., shortest path of transitions from initial state to a goal state.
- *m* The actually deployed search depth. (Note: This is a property of the *search process*, not (directly) of the *transition system*.)



Introduction to Search			
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- h(n) Heuristic value of the search node's state (more later).



Introduction to Search			
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Introduction to Search			
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- h(n) Heuristic value of the search node's state (more later).
- g(n) Cost spent so far during search to reach a search node *n*.
  - $g^*$  The cost of an optimal solution.



Introduction to Search			
Formal Foundations of Search			
Basic Definitions and	d Properties, cont'd		

The following are elemental properties of search algorithms:

Optimality A search algorithm is called *optimal* when it is guaranteed to find a cost-optimal/shortest solution (if one exists).



Introduction to Search			
Formal Foundations of Search			
Basic Definitions and	d Properties, cont'd		

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Optimality A search algorithm is called *optimal* when it is guaranteed to find a cost-optimal/shortest solution (if one exists).

Completeness There are different notions of completeness. We call a search algorithm *complete* if:



Introduction to Search			
Formal Foundations of Search			
Basic Definitions and	d Properties, cont'd		

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If there is a solution, it finds one,



Introduction to Search			
Formal Foundations of Search			
	LD II III		

Basic Definitions and Properties, cont'd

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- If there is a solution, it finds one,
- if there is a solution, an optimal one can be found, or





#### Basic Definitions and Properties, cont'd

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- If there is a solution, it finds one,
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- all solutions can be found.

These are differently strong notions; all of them can be found in the literature. Be aware which one applies.





#### Basic Definitions and Properties, cont'd

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- if there is a solution, an optimal one can be found, or
- all solutions can be found.

These are differently strong notions; all of them can be found in the literature. Be aware which one applies.

Correctness If a solution is returned, it is in fact a solution and if "no solution exists" is returned, there does in fact not exist a solution.



	Uninformed Search		
Breadth-First Search (BFS)			
BFS Algorithm			

$\label{eq:constraint} \textbf{function} \ \textbf{BREADTH-FIRST-SEARCH}(problem) \ \textbf{returns} \ \textbf{a} \ \textbf{solution, or failure}$
$node \leftarrow a \text{ node with STATE} = problem.INITIAL-STATE, PATH-COST = 0$
if problem.GOAL-TEST(node.STATE) then return SOLUTION(node)
<i>frontier</i> $\leftarrow$ a FIFO queue with <i>node</i> as the only element
$explored \leftarrow an empty set$
loop do
if EMPTY?(frontier) then return failure
$node \leftarrow POP(frontier)$ /* chooses the shallowest node in frontier */
add node.STATE to explored
for each action in problem. ACTIONS(node.STATE) do
$child \leftarrow CHILD-NODE(problem, node, action)$
if <i>child</i> .STATE is not in <i>explored</i> then
if problem.GOAL-TEST(child.STATE) then return SOLUTION(child)
$frontier \leftarrow \text{INSERT}(child, frontier)$

Breadth-first search on a graph.

copyright: see slide 46[1] (modified)

#### Question:

In which way does this algorithm differ (e.g., is more precise) than the generic graph-search algorithm?



	Uninformed Search ●ooooooooo		
Breadth-First Search (BFS)			
BFS Algorithm			



An informal description of the general graph-search algorithm. The parts of GRAPH-SEARCH marked in bold italic are the additions needed to handle repeated states.

copyright: see slide 46[1]

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In which way does this algorithm differ (e.g., is more precise) than the generic graph-search algorithm?



	Uninformed Search ●○○○○○○○○		
Breadth-First Search (BFS)			
BFS Algorithm			

function BREADTH-FIRST-SEARCH(problem) returns a solution, or failure node ← a node with STATE = problem.INITAL-STATE, PATH-COST = 0 if problem.GOAL-TEST(rolemeter) frontier ← a FIFO queue with node as the only element explored ← an empty set loop do if EMPT?(frontier) then return failure node ← POP(frontier) /# chooses the shallowest node in frontier \*/ add node-STATE to explored for each action in problem.ACTIONS(node.STATE) do child ← CIRILD-NODE(problem, node, action) if child.STATE is not in explored then if problem.GOAL-TEST(child.STATE) then return SOLUTION(child) frontier ← INSERT(child.frontier) Breadth-first search on a graph.

copyright: see slide 46[1] (modified)

#### Question:

In which way does this algorithm differ (e.g., is more precise) than the generic graph-search algorithm?

- Here, the goal test is done before insertion into the fringe ("early goal test"), not after selection from the fringe.
- The fringe is not "generic", but implemented as FIFO.

	Uninformed Search o●ooooooo		
Breadth-First Search (BFS)			





	Uninformed Search o●ooooooo		
Breadth-First Search (BFS)			





	Uninformed Search o●ooooooo		
Breadth-First Search (BFS)			





	Uninformed Search ○●○○○○○○○		
Breadth-First Search (BFS)			





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Breadth-First Search (BFS)			





	Uninformed Search ○●○○○○○○○		
Breadth-First Search (BFS)			





	Uninformed Search o●ooooooo		
Breadth-First Search (BFS)			





	Uninformed Search		
Breadth-First Search (BFS)			
Example of BFS, co	nťd		

# At one glance:





	Uninformed Search ooo●oooooo		
Breadth-First Search (BFS)			
Properties of BFS			

Optimality



	Uninformed Search 000●000000		
Breadth-First Search (BFS)			
Properties of BFS			

Optimality Depends on the costs:

Without action costs or with unit costs:



	Uninformed Search		
Breadth-First Search (BFS)			
Properties of BFS			

#### Optimality Depends on the costs:

- Without action costs or with unit costs:
  - Obviously (both for tree- and for graph search)
  - Even optimal with an "early goal test"



	Uninformed Search		
Breadth-First Search (BFS)			
Properties of BFS			

#### Optimality Depends on the costs:

- Without action costs or with unit costs:
  - Obviously (both for tree- and for graph search)
  - Even optimal with an "early goal test"
- With action costs: No


	Uninformed Search		
Breadth-First Search (BFS)			
Properties of BFS			

- Without action costs or with unit costs:
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Completeness



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Breadth-First Search (BFS)			
Properties of BFS			

- Without action costs or with unit costs:
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Completeness Depends on properties of the transition system:



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Breadth-First Search (BFS)			
Properties of BFS			

- Without action costs or with unit costs:
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#### Completeness Depends on properties of the transition system:

Finite: Yes



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Breadth-First Search (BFS)			
Properties of BFS			

- Without action costs or with unit costs:
  - Obviously (both for tree- and for graph search)
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#### Completeness Depends on properties of the transition system:

- Finite: Yes
- Infinite: Only with finite branching factor.



	Uninformed Search 000●000000		
Breadth-First Search (BFS)			
Properties of BFS			

- Without action costs or with unit costs:
  - Obviously (both for tree- and for graph search)
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#### Completeness Depends on properties of the transition system:

- Finite: Yes
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Correctness Yes



	Uninformed Search 000●000000		
Breadth-First Search (BFS)			
Properties of BFS			

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  - Obviously (both for tree- and for graph search)
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- Finite: Yes
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Correctness Yes

Space



	Uninformed Search		
Breadth-First Search (BFS)			
Droportion of DEC			

# Properties of BFS

Optimality Depends on the costs:

- Without action costs or with unit costs:
  - Obviously (both for tree- and for graph search)
  - Even optimal with an "early goal test"
- With action costs: No

#### Completeness Depends on properties of the transition system:

- Finite: Yes
- Infinite: Only with finite branching factor.

Correctness Yes

Space  $O(b^d)$ 



	Uninformed Search 000●000000		
Breadth-First Search (BFS)			
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Correctness Yes

Space  $O(b^d)$ Time



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Properties of BFS			

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  - Obviously (both for tree- and for graph search)
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- With action costs: No

### Completeness Depends on properties of the transition system:

- Finite: Yes
- Infinite: Only with finite branching factor.

Correctness Yes

Space  $O(b^d)$ 

Time Just as space. (If the fringe is implemented as a priority queue rather than as queue (FIFO), the queue sorting overhead needs to be added. This does not change the asymptotic runtime, however.)



	Uninformed Search		
Depth-First Search (DFS)			

## DFS Algorithm

function BREADTH-FIRST-SEARCH(problem) returns a solution, or failure
$node \leftarrow a \text{ node with STATE} = problem.INITIAL-STATE, PATH-COST = 0$
if problem.GOAL-TEST(node.STATE) then return SOLUTION(node)
<i>frontier</i> $\leftarrow$ a FIFO queue with <i>node</i> as the only element
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$node \leftarrow POP(frontier)$ /* chooses the shallowest node in frontier */
add node.STATE to explored
for each action in problem.ACTIONS(node.STATE) do
$child \leftarrow CHILD-NODE(problem, node, action)$
if <i>child</i> .STATE is not in <i>explored</i> then
if problem.GOAL-TEST(child.STATE) then return SOLUTION(child)
$frontier \leftarrow \text{INSERT}(child, frontier)$

Breadth-first search on a graph.

copyright: see slide 46[1]

# Just replace the FIFO fringe by a LIFO fringe.



	Uninformed Search		
Depth-First Search (DFS)			



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	Uninformed Search		
Depth-First Search (DFS)			



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	Uninformed Search		
Depth-First Search (DFS)			





	Uninformed Search		
Depth-First Search (DFS)			





	Uninformed Search		
Depth-First Search (DFS)			



	Uninformed Search		
Depth-First Search (DFS)			



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	Uninformed Search		
Depth-First Search (DFS)			





	Uninformed Search		
Depth-First Search (DFS)			





	Uninformed Search		
Depth-First Search (DFS)			





	Uninformed Search		
Depth-First Search (DFS)			





	Uninformed Search		
Depth-First Search (DFS)			





	Uninformed Search		
Depth-First Search (DFS)			

### Example of DFS, cont'd

At one glance:





	Uninformed Search		
Depth-First Search (DFS)			
Properties of DFS			

Optimality



	Uninformed Search		
Depth-First Search (DFS)			
Properties of DFS			



	Uninformed Search		
Depth-First Search (DFS)			
Properties of DFS			

Optimality No Completeness



	Uninformed Search		
Depth-First Search (DFS)			
Properties of DFS			

Completeness Depends on duplicate management:



	Uninformed Search		
Depth-First Search (DFS)			
Properties of DFS			

Completeness Depends on duplicate management:

Tree search: only if the transition system is acyclic



	Uninformed Search		
Depth-First Search (DFS)			
Properties of DFS			

Completeness Depends on duplicate management:

- Tree search: only if the transition system is acyclic
- Graph search: only the weakest form of completeness (and this only if the transition system is acyclic)



	Uninformed Search		
Depth-First Search (DFS)			
Properties of DFS			

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- Tree search: only if the transition system is acyclic
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Correctness Yes



	Uninformed Search ○○○○○○●○○		
Depth-First Search (DFS)			
Properties of DFS			

Completeness Depends on duplicate management:

- Tree search: only if the transition system is acyclic
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Correctness Yes

Space



	Uninformed Search		
Depth-First Search (DFS)			
Properties of DFS			

Completeness Depends on duplicate management:

- Tree search: only if the transition system is acyclic
- Graph search: only the weakest form of completeness (and this only if the transition system is acyclic)

Correctness Yes

Space  $O(b \cdot m)$  (If you only store the fringe.)



	Uninformed Search		
Depth-First Search (DFS)			
Properties of DFS			

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- Graph search: only the weakest form of completeness (and this only if the transition system is acyclic)

# Correctness Yes

```
Space O(b \cdot m) (If you only store the fringe.)
Time
```



	Uninformed Search		
Depth-First Search (DFS)			
Properties of DFS			

Completeness Depends on duplicate management:

- Tree search: only if the transition system is acyclic
- Graph search: only the weakest form of completeness (and this only if the transition system is acyclic)

# Correctness Yes

Space  $O(b \cdot m)$  (If you only store the fringe.)

Time  $O(b^m)$  (If the fringe is implemented as a priority queue rather than as stack (LIFO), the queue sorting overhead needs to be added. This does not change the asymptotic runtime, however.)



	Uninformed Search ○○○○○○○●○		
Uniform Cost Search (UCS)			
Algorithm and Rem	arks		

Implements the generic tree-search or graph-search algorithms.



	Uninformed Search ○○○○○○○●○		
Uniform Cost Search (UCS)			
Algorithm and Rem	arks		

- Implements the generic tree-search or graph-search algorithms.
- Implements fringe as priority queue that selects a node with minimal cost value g(n).



	Uninformed Search ○○○○○○○●○			
Uniform Cost Search (UCS)				
Algorithm and Rema	arks			

- Implements the generic tree-search or graph-search algorithms.
- Implements fringe as priority queue that selects a node with minimal cost value g(n).
- UCS can be a regarded a modification of BFS by expanding the cheapest rather than the shallowest node. *Note:* In contrast to BFS, the early goal test is not allowed here! (Why? Example?)


	Uninformed Search		
Uniform Cost Search (UCS)			
Algorithm and Rema	arks		

- Implements the generic tree-search or graph-search algorithms.
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  - UCS can also be regarded a special case of A\* (covered later this chapter), where no heuristic is used.



	Uninformed Search		
Uniform Cost Search (UCS)			
Algorithm and Rema	arks		

- Implements the generic tree-search or graph-search algorithms.
  - Implements fringe as priority queue that selects a node with minimal cost value g(n).
  - UCS can be a regarded a modification of BFS by expanding the cheapest rather than the shallowest node. *Note:* In contrast to BFS, the early goal test is not allowed here! (Why? Example?)
  - UCS can also be regarded a special case of A\* (covered later this chapter), where no heuristic is used.
  - UCS is equivalent to Dijkstra's algorithm.



	Uninformed Search		
Uniform Cost Search (UCS)			
Properties of Unifor	rm Cost		

# Optimality



	Uninformed Search ○○○○○○○○●		
Uniform Cost Search (UCS)			
Properties of Uniform	n Cost		

## **Optimality Yes**



	Uninformed Search ○○○○○○○○●		
Uniform Cost Search (UCS)			
Properties of Uniform	m Cost		

# Optimality Yes Completeness



	Uninformed Search		
Uniform Cost Search (UCS)			
B			

### **Optimality Yes**

Completeness Depends on duplicate management and action costs:



	Uninformed Search		
Uniform Cost Search (UCS)			
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Optimality Yes

Completeness Depends on duplicate management and action costs:

Tree search: If all action costs are strictly larger than 0.



	Uninformed Search		
Uniform Cost Search (UCS)			

### **Optimality Yes**

Completeness Depends on duplicate management and action costs:

- Tree search: If all action costs are strictly larger than 0.
- Graph search: Yes (except for the strongest form of completeness)



	Uninformed Search		
Uniform Cost Search (UCS)			

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- Again, for infinite transition systems the situation is more complicated.



	Uninformed Search		
Uniform Cost Search (UCS)			

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Correctness Yes



	Uninformed Search		
Uniform Cost Search (UCS)			

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Correctness Yes

Space



	Uninformed Search ○○○○○○○○●		
Uniform Cost Search (UCS)			

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- Again, for infinite transition systems the situation is more complicated.

### Correctness Yes

Space  $O(b^{1+\lfloor g^*/\varepsilon \rfloor})$ , where  $g^*$  denotes the cost of an optimal solution, and  $\varepsilon$  the (positive) cost of the cheapest action.



	Uninformed Search ○○○○○○○○●		
Uniform Cost Search (UCS)			

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Space  $O(b^{1+\lfloor g^*/\varepsilon \rfloor})$ , where  $g^*$  denotes the cost of an optimal solution, and  $\varepsilon$  the (positive) cost of the cheapest action. Time Similar to space.



	Heuristics ●ooooooooo	
Introduction		
Motivation		

- So far, search was blind: we were sorting the fringe via FIFO, LIFO, or by costs.
- Search effort can be reduced significantly, if a heuristic is used to sort the fringe.



	Heuristics ●00000000	
Introduction		
Motivation		

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- Search effort can be reduced significantly, if a heuristic is used to sort the fringe.

Main issues to be solved:

- What are heuristics? Where do they come from?
- Are there formal properties of heuristics?



	Heuristics ●oooooooo	
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- Search effort can be reduced significantly, if a heuristic is used to sort the fringe.

Main issues to be solved:

- What are heuristics? Where do they come from?
- Are there formal properties of heuristics?
- How to use/integrate the heuristic? (This forms the algorithm.)





### Heuriskein (Greek): Find, Discover

- 1957 Methods to identify problem-solving techniques, especially in the field of mathematical proofs.
- 1963 Problem-solving processes that potentially deliver solutions.
- 1971 "Rules" that domain experts apply in order to find good solutions.
- At Present Techniques that improve the average performance of problem-solving methods, but not necessarily the worst-case performance.
  - In Search In the context of search methods: functions that estimate solution costs or the goal distance.



	Heuristics ○○●OO○○○○	
Examples for Heuristics		

2	1	4	8	
9	7	11	10	
6	5	15	3	
13	14	12		

1	2	3	4
5	6	7	8
9	10	11	12
13	14	15	

Problem

Solution

How far are we still away?



	Heuristics ○○●OO○○○○○	
Examples for Heuristics		

2	1	4	8	
9	7	11	10	
6	5	15	3	
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1	2	3	4
5	6	7	8
9	10	11	12
13	14	15	

Problem

Solution

How far are we still away?

Number of misplaced tiles



	Heuristics	
Examples for Heuristics		

2	1	4	8	
9	7	11	10	
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1	2	3	4
5	6	7	8
9	10	11	12
13	14	15	

Problem

Solution

How far are we still away?

- Number of misplaced tiles
- "Distance" (horizontal and vertical distance) per tile to goal position → *Manhatten distance*



	Heuristics ○○●OO○○○○	
Examples for Heuristics		

2	1	4	8	
9	7	11	10	
6	5	15	3	_
13	14	12		

1	2	3	4
5	6	7	8
9	10	11	12
13	14	15	

Problem

Solution

How far are we still away?

- Number of misplaced tiles
- "Distance" (horizontal and vertical distance) per tile to goal position → *Manhatten distance*
- Ignore certain tiles and use resulting solution cost as estimate.



	Heuristics ○○●●○○○○○	
Examples for Heuristics		

#### Road Map

### How to find a(n optimal/good) way from Arad to Bucharest?



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Chapter: Search by Dr. Pascal Bercher

Possible heuristics?

	Heuristics ○○●●○○○○○	
Examples for Heuristics		

#### Road Map

### How to find a(n optimal/good) way from Arad to Bucharest?



copyright: see slide 46[1] (modified)

### Possible heuristics?

Use the linear distance.



		Heuristics ○○○○●○○○○○	
Examples for Heuristics			
	a la la cas		

### POCL Planning Problem



### How many modifications do we have to perform?



		Heuristics ○○○○●○○○○○	
Examples for Heuristics			
POCL Planning Prol	blem		



How many modifications do we have to perform?

Number of open preconditions



		Heuristics ○○○○●○○○○○	
Examples for Heuristics			
	1.1		

### POCL Planning Problem



How many modifications do we have to perform?

- Number of open preconditions
- Number of causal treats



		Heuristics ○○○○●○○○○○	
Examples for Heuristics			
POCL Planning Prot	olem		



How many modifications do we have to perform?

- Number of open preconditions
- Number of causal treats
- There are a few POCL planning heuristics (for estimating the number of missing modifications or missing actions).



		Heuristics ○○○○●○○○○	
Definitions and Properties of Heur	istics		
Heuristic Construction	on		



		Heuristics ○○○○●○○○○	
Definitions and Properties of Her	uristics		
Heuristic Construct	ion		

How to come up with heuristics in a *domain-independent* way?

Perform a problem relaxation.



		Heuristics ○○○○●○○○○	
Definitions and Properties of Heu	ristics		
Heuristic Construction	on		

- Perform a *problem relaxation*.
- Solve the relaxed problem.



		Heuristics 000000000			
Definitions and Properties of Heuristics					
Houristia Construe	tion				

- Perform a *problem relaxation*.
- Solve the relaxed problem.
- Use the cost (or number of actions, etc.) of the problem in the relaxed problem as approximation (i.e., heuristic) of the actual problem.



		Heuristics ○○○○●○○○○			
Definitions and Properties of Heuristics					
Houristia Constru	otion				

- Perform a *problem relaxation*.
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- Example Sliding Tile Puzzle:
  - Number of misplaced tiles. Relaxation: We can always move tiles to any location, i.e., ignore all preconditions.



		Heuristics ○○○○●○○○○			
Definitions and Properties of Heuristics					
Houristia Constru	otion				

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  - Manhatten distance. Relaxation: We can move a tile, even if the neighbor tile is not free, i.e., ignore some preconditions.



		Heuristics ○○○○●○○○○			
Definitions and Properties of Heuristics					
Houristia Constru	ation				

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  - Ignore tiles. Some tiles (i.e., state variables) do not exist.



		Heuristics ○○○○●○○○○			
Definitions and Properties of Heuristics					
Houristia Constru	ation				

How to come up with heuristics in a *domain-independent* way?

- Perform a *problem relaxation*.
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  - Manhatten distance. Relaxation: We can move a tile, even if the neighbor tile is not free, i.e., ignore some preconditions.
  - Ignore tiles. Some tiles (i.e., state variables) do not exist.

In *planning*, we can exploit the underlying formalism to design a large set of domain-independent heuristics.



		Heuristics ○○○○○●○○○			
Definitions and Properties of Heuristics					
B (L) IN					

### Definition (Heuristic, Dominance)

Given a state transition system ts = (S, L, c, T, I, G), a *heuristic h* is a function  $h : S \to \mathbb{R}^+ \cup \{\infty\}$ . A heuristic  $h_1$  is said to dominate another heuristic  $h_2$  if for all states  $s \in S$ ,  $h_1(s) \ge h_2(s)$ .


		Heuristics ○○○○○●○○○	
Definitions and Properties of Heur	istics		
B C W			

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Heuristics can estimate different metrics (cf. also last lecture). Most common ones are:



		Heuristics ○○○○○●○○○	
Definitions and Properties of Heuristics			
D C III			

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Number of actions of a solution.



		Heuristics ○○○○○●○○○		
Definitions and Properties of Heuristics				
D. C. W				

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Heuristics can estimate different metrics (cf. also last lecture). Most common ones are:

- Number of actions of a solution.
- Costs of a solution.



		Heuristics ○○○○○●○○○	
Definitions and Properties of Heuristics			
D. C. M.			

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Heuristics can estimate different metrics (cf. also last lecture). Most common ones are:

- Number of actions of a solution.
- Costs of a solution.
- Note: The states of a transition system are not necessarily the same as the states of a planning problem! This depends on the search procedure and the problem class.



		Heuristics ○○○○○○●○○	
Definitions and Properties of Heu	ristics		
Definitions, cont'd I			

#### **Definition (Perfect Heuristic)**

A heuristic  $h^* : S \to \mathbb{R}^+$  is called *perfect*, if for all states  $s \in S h^*(s)$  is the cost of the cheapest transition from s to a goal  $s' \in G$ . Further,  $h^*(s) = \infty$  for all states s for which no goal state can be reached.



		Heuristics ○○○○○○●○○	
Definitions and Properties of Heur	ristics		
Definitions, cont'd I			

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#### Definition (Safe Heuristic)

A heuristic *h* is called *safe*, if for all states  $s \in S h(s) = \infty$  implies  $h^*(s) = \infty$ .



		Heuristics ○○○○○○●○○	
Definitions and Properties of Heu	ristics		
Definitions, cont'd I			

#### **Definition (Perfect Heuristic)**

A heuristic  $h^* : S \to \mathbb{R}^+$  is called *perfect*, if for all states  $s \in S h^*(s)$  is the cost of the cheapest transition from *s* to a goal  $s' \in G$ . Further,  $h^*(s) = \infty$  for all states *s* for which no goal state can be reached.

#### Definition (Safe Heuristic)

A heuristic *h* is called *safe*, if for all states  $s \in S h(s) = \infty$  implies  $h^*(s) = \infty$ .

#### Definition (Goal-aware Heuristic)

A heuristic *h* is called *goal-aware*, if all goal states, i.e.,  $s_G \in G$  holds  $h(s_G) = 0$ .

		Heuristics ○○○○○○○○○	
Definitions and Properties of Heur	ristics		
Definitions, cont'd II			

### Definition (Admissible Heuristics)

A heuristic *h* is called *admissible*, if for all states  $s \in S$ , it holds  $h(s) \leq h^*(s)$ .

### Explanation:

Admissible heuristics give a lower (i.e., non-overestimating) bound on the "best" goal distance.



		Heuristics ○○○○○○○○●	
Definitions and Properties of	Heuristics		
Definitions, cont'o	3 111		

#### **Definition (Consistent Heuristics)**

A heuristic *h* is called *consistent*, if for all transitions  $(s, l, s') \in T$ holds  $h(s) - h(s') \le c(l)$  (equiv.:  $h(s) \le c(l) + h(s')$ ).

#### Explanation:

Consistency: When applying an action *a*, the heuristic value cannot decrease by more than the cost of *a*.





		Informed Search	
Introduction			

How to Use the Heuristic During Search?

For selecting a search node from the search fringe, pick a search node n with the cheapest f value. f can depend on many properties, for example:

- The heuristic value h(n) of n.
- The cost value g(n) of n.
- The depth d(n) of n.



		Informed Search ⊙●○○○○○○○○○	
Introduction			
Informed Search Alg	porithms		



The most basic informed search algorithms are:

		Informed Search	
Introduction			
Informed Search Alo	orithms		

The most basic informed search algorithms are:

Greedy f(n) = h(n) (also called *Greedy Best-first*)

Explanation:

Greedy search always expands the node that seems closest to a goal.





#### Informed Search Algorithms

The most basic informed search algorithms are:

Greedy f(n) = h(n) (also called *Greedy Best-first*)  $A^* f(n) = g(n) + h(n)$ 

Explanation:

- Greedy search always expands the node that seems closest to a goal.
- A\* tries to find a cost-minimal solution while taking the heuristic into account during search.





#### Informed Search Algorithms

The most basic informed search algorithms are:

Greedy 
$$f(n) = h(n)$$
 (also called *Greedy Best-first*)  
 $A^* f(n) = g(n) + h(n)$   
Greedy  $A^* f(n) = c(n) + w * h(n), w > 1$  (also called *Weighted A*\*)

Explanation:

- Greedy search always expands the node that seems closest to a goal.
- A\* tries to find a cost-minimal solution while taking the heuristic into account during search.
- Greedy A\* is a "more greedy" version of A\*, taking into account the heuristic to a larger extent.



		Informed Search	
Greedy Search			
The Search Problem			

#### How to find a(n optimal/good) way from Arad to Bucharest?



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		Informed Search	
Greedy Search			
The Search Progress	S		



- Always select a node with minimal f(n) = h(n).
- Here, *h* is the linear distance.



		Informed Search	
Greedy Search			



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- Always select a node with minimal f(n) = h(n).
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		Informed Search	
Greedy Search			



copyright: see slide 46[1] (modified)

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		Informed Search	
Greedy Search			



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- Always select a node with minimal f(n) = h(n).
- Here, *h* is the linear distance.



		Informed Search	
Greedy Search			

#### The Search Progress, Overview





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		Informed Search	
Greedy Search			

#### Properties of Greedy Search

Optimality



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Greedy Search				
	 -			

#### Properties of Greedy Search

Optimality No (even for admissible and consistent heuristics)



		Informed Search	
Greedy Search			
Properties of Greed	dy Search		

# Optimality No (even for admissible and consistent heuristics) Completeness



Introductio						Informed Search	
Greedy	Search						l
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#### Properties of Greedy Search

Optimality No (even for admissible and consistent heuristics) Completeness No (can get stuck in loops)



		Informed Search	
Greedy Search			
Properties of Greed	y Search		

## Optimality No (even for admissible and consistent heuristics) Completeness No (can get stuck in loops) Correctness Yes



		Informed Search	
Greedy Search			

#### Properties of Greedy Search

Optimality No (even for admissible and consistent heuristics) Completeness No (can get stuck in loops) Correctness Yes

Space



		Informed Search	
Greedy Search			
Properties of Greed	dy Search		

# Optimality No (even for admissible and consistent heuristics) Completeness No (can get stuck in loops) Correctness Yes Space $O(b^m)$



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Greedy	Search						
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#### Properties of Greedy Search

Optimality No (even for admissible and consistent heuristics) Completeness No (can get stuck in loops) Correctness Yes Space  $O(b^m)$ 

Time



			d Search 0000	Informed Search	
Greedy Search					
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		Informed Search	
A* Search			
The Search Problem	1		

#### How to find a(n optimal/good) way from Arad to Bucharest?



copyright: see slide 46[1] (modified)



		Informed Search ○○○○○○●○○○○	
A* Search			
The Search Progres	SS		



- Always select a node with minimal f(n) = g(n) + h(n).
- Here, *h* is the linear distance.



	Uninformed Search	Informed Search	
A* Search			
The Search Progres	S		



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		Informed Search	
A* Search			



copyright: see slide 46[1] (modified)

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		Informed Search	
A* Search			

### The Search Progress, Overview



		Informed Search	
A* Search			
Properties of A*			

Optimality



		Informed Search	
A* Search			



		Informed Search	
A* Search			
Properties of A*			

Tree search: If the heuristic is admissible.



		Informed Search	
A* Search			
Properties of A*			

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		Informed Search	
A* Search			
Properties of A*			

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A* Search			
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Completeness



		Informed Search	
A* Search			
Properties of A*			

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A* Search			
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Tree search: If action costs are strictly larger than 0.



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Space  $O(b^{1+\lfloor g^*/\varepsilon \rfloor})$ , where  $g^*$  denotes the cost of an optimal solution, and  $\varepsilon$  the (positive) cost of the cheapest action.



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		Informed Search ○○○○○○○○○●○	
Greedy A* Search			
Properties of Greedy	/ A*		

Optimality



		Informed Search	
Greedy A* Search			
Properties of Greed	y A*		



		Informed Search	
Greedy A* Search			
Properties of Greedy	∕ A*		

- Tree search: for *w* > 1, it's bounded suboptimal if the heuristic is admissible.
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Space



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Time



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			Informed Search ○○○○○○○○○○		
Greedy A* Search					
Notes about Greedy A*					

- Greedy A\*, f(n) = g(n) + w \* h(h), allows to interpolate between greedy search and A\* search.
- Greedy A\* trades off plan quality against computational effort.
  (Normally, Greedy A\* is much more efficient than A\*.)





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- How does it behave for w = 0?
- How does it behave for w = 1?
- How does it behave for  $w = 10^{101010}$ ?





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		Summary ●○
Summary		

Search is a method to systematically (and with known properties) find solutions in state transition systems, i.e., a sequence of transitions from the initial state to a goal state.



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			Summary OO
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## Copyright Notes and Licenses

## [1] Title: Artificial Intelligence: A Modern Approach (Third Edition) Url: https://aima.cs.berkeley.edu/ Authors: Stuart Russel and Peter Norvig

