

Planning is the reasoning process required to generate a plan - a sequence of action that transforms a given state of a system into a desired one.

roductio •0 Motivation General Questions Covered What are important/interesting properties of algorithms? • What does it mean that one algorithm is better than another? • How does one *prove* such properties? E.g., how does one show: termination? • that one algorithm is better than another?  $\rightarrow$  Illustrated with AI planning and planning heuristics. Australian National University Pascal Bercher 1.39 000000 Why AI Planning? What is it? Games, e.g., Solitaire View Control Klondike Help b \$ ₽ Hint New Restart Select Game Undo Move

tock left: 23 Redeals left: 2

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Problem Definit	ion				
What is Clas	sical Planning?				

We focus on the "base case" of AI planning: Classical Planning

- Discrete (no time).
- Deterministic.
- · Fully observable.
- Single-agent.

More formally, a classical planning problem consists of:

- A finite set of (deterministic and discrete) actions.
- A (fully known) initial state.
- A set of (fully known) goal states.

A *solution* (or *plan*) is any sequence of actions transforming the initial state into a goal state.

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## Formalism

A classical planning problem  $\mathcal{P} = \langle V, A, s_l, g \rangle$  consists of:

- *V* is a finite set of *state variables* (also called: *facts* or *propositions*).
  - States are collections of state variables.
  - We assume the *closed world assumption*, i.e., all variables not mentioned in a state *s* do not hold in that state (in contrast to: it's not known whether they hold or not).
  - $S = 2^{V}$  is called the *state space*.



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Formalism	1				

A classical planning problem  $\mathcal{P} = \langle V, A, s_I, g \rangle$  consists of:

 A is a finite set of actions. Each action a ∈ A is a tuple (pre, add, del, c) ∈ 2<sup>V</sup> × 2<sup>V</sup> × 2<sup>V</sup> × ℝ<sub>0</sub><sup>+</sup> consisting of a precondition, add and delete list, and action costs. (We often only give a 3-tuple if there are no action costs.)



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## Formalism

A classical planning problem  $\mathcal{P} = \langle V, A, s_l, g \rangle$  consists of:

- $s_l \in S$  is the initial state (complete state description).
- $g \subseteq V$  is the goal description (encodes a set of goal states).



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ightarrow Example: The action

take pre: {CrateAtLoc1}

- add: {HoldCrate}
- del: {CrateAtLoc1}

is applicable in state {*CrateAtLoc1*, *TruckAtLoc2*} resulting into {*TruckAtLoc2*, *HoldCrate*}.

Example

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Every classical planning problem is a compact representation of a *state transition system*, i.e., of how states are transformed into each other.





- $\bar{a}$  is applicable in  $s_l$ .
- $\bar{a}$  results into a goal state, i.e.,  $\gamma(\bar{a}, s_l) \supseteq g$ .



	Al Planning Problems		
ate Transition	Systems		
State Transit	ion System		

# Definition (State Transition System)

A state transition system is a 6-tuple (S, L, c, T, I, G), where

- S is a finite set of states.
- L is a finite set of transition labels.
- $c: L \to \mathbb{R}_0^+$  is a cost function.
- $T \subseteq S \times L \times S$  is the transition relation.
- $I \in S$  is the initial state.
- $G \subseteq S$  is the set of goal states.



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State Transition	on Systems					St	tate Transiti
Size Increa	ase of the State	Space in Blocks	World			1	Notes
		n blocks, 1 g A single acti gripper and • puts it ir • or onto	gripper. on takes mmediate the table.	s a top-most block with bly onto some other top-	h the most block		٠
	blocks	states	blocks	states			
	1	1	10	58941091	-		
	2	3	11	824073141			
	3	13	12	12470162233			•
	4	73	13	202976401213			
	5	501	14	3535017524403			
	6	4051	15	65573803186921			
	7	37633	16	1290434218669921			
	8	394353	17	26846616451246353			
	9	4596553	18	588633468315403843			
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Recap: A* Se	earch					R	ecap: A* S
Tree Searc	ch and Graph So	earch				1	Node Sele
function TRE initialize th loop do if the fr choose if the n expand	EE-SEARCH( problem he frontier using the ir rontier is empty <b>then</b> : a leaf node and remo node contains a goal st I the chosen node, add	c) returns a solution, or ititial state of problem return failure ve it from the frontier ate then return the cor ling the resulting nodes	failure responding so to the frontier	lution			•
function GRA initialize th <i>initialize th</i> loop do	APH-SEARCH( <i>proble</i> he frontier using the ir he explored set to be e	m) returns a solution, nitial state of <i>problem</i> empty	or failure				•

if the frontier is empty then return failure
choose a leaf node and remove it from the frontier
if the node contains a goal state then return the corresponding solution *if not in the explored set add the node to the explored set*expand the chosen node, adding the resulting nodes to the frontier

An informal description of the general tree-search and graph-search algorithms. The parts of GRAPH-SEARCH marked in bold italic are the additions needed to handle repeated states.

> (graphic modified) copyright: Title: Artificial Intelligence: A Modern Approach (Third Edition) Url: https://aima.cs.berkeley.edu/ Authors: Stuart Russel and Peter Norvig

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  ice Transition Systems
  otes
  Planning problems (very compactly!) define state transition
  - systems (cf. Blocks World).To solve a planning problem, we construct the underlying state
  - To solve a planning problem, we construct the underlying state transition system.
  - Each node in the search space corresponds to a state and a sequence of actions within the state transition system.

# Node Selection Strategy

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• Tree and graph search can realize various different search strategies such as

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- Uninformed search (like Breadth or Depth First Search, BFS/DFS)
- Informed search (like A\*)
- We will present the progression planning algorithm as instance of tree search.
- In A\* search, each search node n get's an f value associated:
  - f(n) = g(n) + h(n) with
  - g(n) are the costs of *n*, e.g., number of actions leading to *n*
  - *h*(*n*) is the heuristic value computed for *n*. Heuristic means an estimate of the distance from *n* to a nearest solution.
- Always select a node from the fringe with lowest *f* value!
- $\rightarrow$  That's all we need to execute  $A^*$  (but we skipped all the theory due to lack of time)

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Properties

What are we interested in? Which properties are of interest?

- Does it always terminate? If not, can we make it so?
- How can we make the algorithm *more efficient*?
- What's the runtime?
- Is it correct, i.e., is every plan it returns an actual solution?
- Is it complete, i.e., does it always find a solution if one exists?
- Is it optimal, i.e, does it always find the best solution?



Properties: Proof Sketches

Algorit	hm: Progression State-based Search
Input:	A classical planning problem $\langle V, A, s_l, g \rangle$

Output: A solution a or *fail* if none exists

- 1 fringe  $\leftarrow \{(s_l, \varepsilon)\}$
- 2 while fringe  $\neq \emptyset$  do
- 3  $(s, \bar{a}) \leftarrow nodeSelectAndRemove(fringe)$
- 4 if  $s \supseteq g$  then return  $\bar{a}$
- 5 for  $a \in A$  do
- 6 if  $pre(a) \subseteq s$  then
- 7  $s' = (s \setminus del(a)) \cup add(a)$
- 8  $fringe \leftarrow fringe \cup \{(s', \bar{a} \circ a)\}$
- 9 return fail

Does it always terminate?

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• No, due to cycles in state-space.

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Analyzing th	e Planning Algorithm				
Propertie	s: Proof Sketches				
Algor Input Outp	rithm: Progression State : A classical planning ut: A solution ā or <i>fail</i> if	e-based Search problem $\langle V, A \rangle$ none exists	$\frac{1}{ s_l,g\rangle}$		
1 fringe 2 while 3 (s 4 if 5 fo 6 7 8 2	$\begin{aligned} e \leftarrow \{(s_l, \varepsilon)\} \\ \text{fringe} \neq \emptyset \text{ do} \\ , \bar{a}) \leftarrow \text{nodeSelectAndF} \\ s \supseteq g \text{ then return } \bar{a} \\ r \ a \in A \text{ do} \\ \text{ if } pre(a) \subseteq s \text{ then} \\ & s' = (s \setminus del(a)) \cup \\ & fringe \leftarrow fringe \cup \{ c \in A \} \end{aligned}$	Remove(fringe) add(a) (s',ā∘a)}			
		human ta mai			
How	can we make it a	lways term	nate?		
•	Ensure that every	y search no	de (state) is ex	plored only <i>once</i> .	
•	Check the currer	it plan lengt	h. Discard nod	es of a certain leng	th.
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Analyzing the Propertie Algoi Input Outp 1 fringe 2 while 3 (s 4 if 5 fo 6 7 8	Pascal Bercher Al Planning Problems cococococ Planning Algorithm s: Proof Sketches rithm: Progression State : A classical planning ut: A solution $\bar{a}$ or <i>fail</i> if $e \leftarrow \{(s_l, \varepsilon)\}$ fringe $\neq \emptyset$ do , $\bar{a}$ ) $\leftarrow$ nodeSelectAndF $s \supseteq g$ then return $\bar{a}$ r $a \in A$ do if pre(a) $\subseteq$ s then $\int s' = (s \setminus del(a)) \cup$ fringe $\leftarrow$ fringe $\cup$ { n <i>fail</i> V can we make an By including (and	Al Search accordsolver (V, A) accordsolver (V, A) accordsolver (ringe) add(a) $(s', \bar{a} \circ a)$ algorithm $r$ addver (studying p)	Planning as Search $\circ \circ \circ \bullet$ $rac{1}{s_{I},g}$	Heuristic Planning 0000000000000000000000000000000000	23.39 Summary O

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Analyz	ing the Planning Algorithm				
Prop	erties: Proof Sketches				
7	Maorithm: Progression State	-based Search		-	
Í	nput: A classical planning r	problem $\langle V, A \rangle$	$s_{i}, a \rangle$	-	
(	Dutput: A solution a or <i>fail</i> if	none exists	-17.97		
	(a, b)				
1/	$nnge \leftarrow \{(\mathbf{s}_{i}, \varepsilon)\}$ while fringe $\neq \emptyset$ do				
3	$(s, \bar{a}) \leftarrow nodeSelectAndRe$	emove(fringe)			
4	if $s \supseteq g$ then return $\overline{a}$				
5	for $a \in A$ do				
6	if $pre(a) \subseteq s$ then				
7	$S' = (S \setminus del(a)) \cup a$	add(a)			
0		<b>3</b> , a ∪ a)}			
9 ľ	eturn <i>fail</i>				
Ī	f we made it terminate	e (by storing	visited no	- odes), what's the runtime?	
	Worst case: Until	all $ 2^{V}  = 2$	V states	are generated	
				nt	
	$\rightarrow$ Exponential time	and space i	equireme	111.	
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Analyz	ing the Planning Algorithm				
Prop	erties: Proof Sketches				
7	Algorithm: Progression State	-based Search		-	
ī	nput: A classical planning p	problem $\langle V, A, s \rangle$	$ s_l,g\rangle$	-	
(	Dutput: A solution a or fail if	none exists			
1 /	fringe $\leftarrow \{(s_l, \varepsilon)\}$				
2 \	while fringe $\neq \emptyset$ do				
3	$(s, ar{a}) \leftarrow \textit{nodeSelectAndRe}$	emove(fringe)			
4	if $s \supseteq g$ then return $\overline{a}$				
5	for $a \in A$ do				
7	$    s' = (s \setminus del(a)) \cup s$	add(a)			
8	$ [ fringe \leftarrow fringe \cup \{($	s′,ā∘a)}			
9 I	eturn <i>fail</i>				
Ī	s it correct, i.e., is eve	ry plan it ret	turns an a	- ctual solution?	
	• Yes, which can be	e proved triv	ally (sho	w that the properties of	
	returned plans ma	atch the solu	ution crite	ria).	

nning as Search

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Analyzing the	e Planning Algorithm				
Properties	: Proof Sketches				
Algori	thm: Progression Stat	e-based Searc	<u>h</u>		
Input:	A classical planning	problem $\langle V, A \rangle$	$\overline{A, s_l, g}$		
Outpu	t: A solution a or <i>fail</i> in	f none exists	, ., .,		
1 fringe	$\leftarrow \{(\mathbf{s}, \varepsilon)\}$				
2 while	fringe $\neq \emptyset$ do				
3 ( <i>s</i> ,	$\bar{a}) \leftarrow \textit{nodeSelectAndI}$	Remove(fringe	)		
4 if <i>s</i>	$\supseteq g$ then return $\overline{a}$				
5 for	$a \in A$ do				
6	If $pre(a) \subseteq s$ then $  s' = (s \setminus del(a)) \sqcup$	add(a)			
8	$fringe \leftarrow fringe \cup \{$	$(s', \bar{a} \circ a)$			
9 return	fail				
Is it c	complete, i.e., do	es it always	s find a solution i	f one exists?	
•	I his depends on	the node s	selection strategy	y. And on the fact	
	whether duplicat	es are con	sidered again.		
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Introduction					
Search-Gu	idance in Classical I	Planning			

Problems of progression search:

- Often very huge branching factor (many actions are applicable to a state).
- The search space size increases exponential with search depth (cf. blocks world!)
- Thus, how we implement the node selection (line 3) has a *huge* impact on efficiency! (We rather explore the exact path from the initial state to a goal state rather than the entire search space.)
- Which state to explore next is decided by heuristics!

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Analyzing th	e Planning Algorithm				
Properties	: Proof Sketches				
Algor	ithm: Progression Stat	e-based Searc	h		
Input	A classical planning	problem $\langle V, A$	$\langle s_l, g \rangle$		
Outpu	It: A solution a or fail i	f none exists			
1 fringe	$\leftarrow \{(\boldsymbol{s}_l,\varepsilon)\}$				
2 while	fringe $ eq \emptyset$ do				
3 ( <i>s</i>	$ar{a}) \leftarrow \textit{nodeSelectAndl}$	Remove(fringe	)		
4 if s	$g \supseteq g$ then return $\overline{a}$				
5 foi	$a \in A$ do				
5	$ pre(a) \subseteq s$ then $ s' - (s \setminus del(a)) $	⊥add(a)			
8	$\int fringe \leftarrow fringe \cup \{$	$\{(s', \bar{a} \circ a)\}$			
9 returi	n <i>fail</i>				
ls it	optimal, i.e, does	it always fi	nd the best solut	ion?	
•	Yes, if used with	$A^*$ and an	admissible heur	istic (see Al	
	lecture/handbool	k).		,	
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Introduction	Al Planning Problems			Heuristic Planning	Summ O

# Heuristic Example: Sliding Tile Puzzle



How far are we still away?

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• Number of misplaced tiles: 13

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Introdu	ction										
Heur	istic E	xample	e: Slidi	ing Tile	e Puzzle						
	2	1	4	8		4	2	3	4		
	2		4	0			2	3	4		
	9	7	11	10	$\longrightarrow$	5	6	7	8		
	6	5	15	3		9	10	11	12		
	13	14	12			13	14	15			
ļ		Initial	State		1		Goal	State		]	
ł	How f	ar are	e we	still av	way?						
	• 1	Numb	er of	misp	aced tiles:	13					
	• "	Dista	nce"	(horiz	ontal and	vertic	al dis	tance	e) per	tile to goal	
	Ķ	oositio	$n \rightarrow$	Man	hatten dist	ance:	1+.	+ (2	2+1) ·	+	
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ŀ	How t	o cor	ne up	with	heuristics	in a c	loma	in-ina	lepen	dent way?	
	• [	Perfor	m a j	oroble	em relaxati	on.					
	• {	Solve	the r	elaxe	d problem.						
	• (	Jse tł	ne co	st of t	he solutior	n in th	e rela	axed	proble	em as	
	â	appro	ximat	tion (i	.e., heurist	ic) of	the a	ctual	probl	em.	
E	Exam	ple S	liding	I Tile	Puzzle:						
	•	Numb	per of	misp	laced tiles.	Rela	xatio	n: We	e can	always move t	les
	t	o any	loca	tion, i	.e., ignore	all pr	econ	dition	s.		
	• / r	<i>Manh</i> neighl	<i>atten</i> bor til	<i>dista</i> le is n	<i>nce.</i> Relax ot free, i.e	ation	: We ore so	can r ome p	nove precoi	a tile, even if th nditions.	ie
	•	Ignore	e tiles	. Son	ne tiles (i.e	., stat	te var	iable:	s) do	not exist.	

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Formal Defi	nitions and Properties of	Heuristics			
Definition	5				
Defi	nition (Heuristic)				
Give	in a state transition $h: \mathcal{S}  ightarrow \mathbb{R}^+$ (	the system $t$ is system $t$ is $\{\infty\}$ .	s = (S, L, c, T, I)	l, G), a <i>heuristic h</i> is	sa
Defi	nition (Perfect He	euristic)			
A he is th h*(s	puristic $h^*: \mathcal{S}  ightarrow \mathbb{R}$ e cost of the cheal $\mathcal{S}(x) = \infty$ for all state	2 <sup>+</sup> is calle pest transi es <i>s</i> for wh	d <i>perfect</i> , if for a tion from <i>s</i> to a g iich no goal state	Il states $s \in S \ h^*(s)$ goal $s' \in G$ . Furthe e can be reached.	;) er,
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00000000 Formal Definitions and Properties of Heuristics Definitions, cont'd II **Definition (Admissible Heuristics)** A heuristic *h* is called *admissible*, if for all states  $s \in S$ , it holds  $h(s) \leq h^*(s).$ 

## Definition (Dominance)

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A heuristic  $h_1$  is said to dominate another heuristic  $h_2$  if for all states  $s \in S$ ,  $h_1(s) \geq h_2(s)$ .



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Heuristic Planning





- Its delete-relaxation is the (delete-free) problem  $\langle V, A', s_l, g \rangle$ , where  $A' = \{(pre, add, \emptyset, c) \mid (pre, add, del, c) \in A\}$ .
- $\rightarrow \mathcal{P}^+$  refers to the delete-relaxation of  $\mathcal{P}$ .
- $\rightarrow h^+$  refers to the perfect heuristic ( $h^*$ ) for  $\mathcal{P}^+$ .

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Heuristic Planning 000000000 Delete Relaxation Definitions, Delete Relaxation

What's the core idea behind delete relaxation?

 $\rightarrow$  What's true once stays true!

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Consider Sokoban after: moving left, down,



These positions are also free! (Since they were free before or have become so.)

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#### Definitions, Delete Relaxation

What's the core idea behind delete relaxation?

 $\rightarrow$  What's true once stays true!

Consider Sokoban after: moving left, down, right...

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= a crate





These positions are also free! (Since they were free before or have become so.)



= a goal position



Why delete-relaxation and how to exploit it?

- Solving delete-free planning problems can be done in polynomial time!
- (Whereas solving arbitrary planning problems normally requires exponential space and time.)
- Many heuristics are based on delete-relaxation:
  - h<sup>max</sup> (shown next!)
  - *h*<sup>Add</sup> (improves *h*<sup>max</sup> by incorporating *all* preconditions)
  - *h*<sup>FF</sup> (compute a plan for the delete-relaxation)
  - $\rightarrow h^{Add}$  and  $h^{FF}$  might be covered in the assignments.



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elete Relaxation							

Relaxed Planning Graph: Definition

## Definition (Relaxed Planning Graph)

Let  $\langle V, A, s_l, g \rangle$  be a (delete-free) planning problem.

Then, a *relaxed planning graph (rPG)* is a graph  $\langle \overline{V}, \overline{A} \rangle$  consisting of:

- $\overline{V} = V^0 \dots V^n$ ,  $V^i \subseteq V$ ,  $0 \le i \le n$ , a sequence of *variable layers*.
- $\bar{A} = A^1 \dots A^n$ ,  $A^i \subseteq A$ ,  $1 \le i \le n$ , a sequence of *action layers*.
- $V^0 = s_I$ .
- $A^{i} = \{a \in A \mid pre(a) \subseteq V^{i-1}\}, 1 \le i \le n.$
- $V^i = V^{i-1} \cup \bigcup_{a \in A^i} add(a), 1 \le i \le n.$
- Choose n = i, such that  $V^{i-1} = V^i$  holds.

## Questions:

- Why is "delete-free" in the problem description put in parentheses?
- Why is *n* chosen as is? Is there a bound on *n*?

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Let  $\mathcal{P} = \langle V, A, s_l, g \rangle$  be a classical planning problem and  $\mathcal{G} = \langle \overline{V}, \overline{A} \rangle$  its rPG.

- *h<sup>max</sup>(s)* returns the first layer number in which all goal variables hold. Meaning: Number of action layers required in *P*<sup>+</sup> to make the hardest variable in *g* true (starting in some *s* ∈ *S*, e.g., *s<sub>l</sub>*).
- Formally, *h<sup>max</sup>* can be calculated as follows:

action vertex The cost of an action vertex  $a \in A^i$  is 1 plus the maximum of the predecessor vertex costs.

variable vertex • The cost of a variable vertex v is 0 if  $v \in V^0$ .

For all v ∈ V<sup>i</sup>, i > 0, the cost of v equals the minimum cost of all predecessor vertices (these might be either action or variable vertices).

vertex set For a set of state variables  $\overline{\nu} \subseteq V$ , the cost equals the most expensive variable in  $\overline{\nu}$ .

heuristic For a state  $s \in S$ ,  $h^{max}(s)$  equals the cost of g.



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- Analyzed (planning) search algorithms, i.e., we ...
  - investigated runtime behavior,
  - investigated space requirements,
  - analyzed heuristics to improve performance, and
  - → learned that heuristics base on special cases that are computationally easier to compute (we aim at poly-computable heuristics, whereas most planning problems – practically – require exponential time and space)
- Possible outlook: computational investigation of (planning) problems and heuristics. We normally investigate
  - complexity of a problem (with/without relaxation)
  - runtime of algorithms/heuristics
  - $\rightarrow$  Literature and material: see Wattle! (soon)
- ightarrow Thank you for your attention!



