## COMP3630 / COMP6363

## week 1: Regular Expressions and Languages

This Lecture Covers Chapter 3 of HMU: Regular Expressions and Languages
slides created by: Dirk Pattinson, based on material by Peter Hoefner and Rob van Glabbeck; with improvements by Pascal Bercher convenor \& lecturer: Pascal Bercher

The Australian National University

Semester 1, 2023

## Content of this Chapter

> Introduction to regular expressions and regular languages
> Equivalence of classes of regular languages and languages accepted
> Algebraic laws of (abstract) regular expressions

Additional Reading: Chapter 3 of HMU.

## Regular Expressions: Overview

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> Each regular expression $r$ denotes a language $L(r) \subseteq \Sigma^{*}$

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> Precedence Rules:

$$
(\cdot)>*>\cdot>+
$$

where $>$ is 'binds more strongly than', and both + and $\cdot$ associate to the left.

## Regular Expressions: Examples

> $r=0+11^{*} 10$ is a regular expression
$>$ with brackets that indicate precedence: $r=0+\left(1\left(1^{*}\right) 10\right)$
$>$ with more brackets indicating associativity: $r=0+\left(\left(1\left(1^{*}\right)\right) 1\right) 0$
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> Computing the language:

$$
\begin{aligned}
L(r) & =L(0) \cup L\left(11^{*} 10\right) \\
& =\{0\} \cup L(1) \cdot L\left(1^{*}\right) \cdot L(1) \cdot L(0) \\
& =\{0\} \cup\{1\} \cdot\{1\}^{*} \cdot\{1\} \cdot\{0\} \\
& =\{0\} \cup\{1\} \cdot\left\{1^{n} \mid n \geq 0\right\} \cdot\{1\} \cdot\{0\} \\
& =\left\{1^{i} 0 \mid i \neq 1\right\}
\end{aligned}
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## Regular Languages: Some Basic Properties

Theorem 3.2.1
Let $w \in \Sigma^{*}$. Then $\{w\}$ is regular.

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$>\{w\}$ being regular means there is a regular expression $r$ with $L(r)=\{w\}$. Proof by induction on the length of $w$. For $w=\epsilon,\{w\}=\{\epsilon\}=L(\epsilon)$. For $w$ of the form $w^{\prime} s$, we have (by induction) $r$ s.t. $\left\{w^{\prime}\right\}=L(r)$ so that $\{w\}=\left\{w^{\prime} s\right\}=L(r s)$.

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Let $L_{1}$ and $L_{2}$ be regular languages. Then, $L_{1}^{*}, L_{1} \cup L_{2}$ and $L_{1} L_{2}$ are also regular.

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By definition of $L\left(r^{*}\right), L(r+s)$ and $L(r s)$.
>Corollary 1: The class of regular languages is closed under finite union and concatenation, i.e., if $L_{1}, \ldots, L_{k}$ are regular languages for any $k \in \mathbb{N}$, then $L_{1} \cup \cdots \cup L_{k}$ and $L_{1} \cdots L_{k}$ are also regular languages.

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>Corollary 2: Any finite language is regular.

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Note that these automata could be made smaller:
$\emptyset / \epsilon$ only keep initial state and no transitions since runs with non-existent transitions fail.
$0 / 1 q_{2}$ can be removed since runs with non-existent transitions fail.

## DFAs and Regular Languages

## Proof of Theorem 3.2.3 (Cont'd)

$>$ Induction $E^{*}$ :


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## > Induction $\mathrm{E}+\mathrm{F}$ :



## DFAs and Regular Languages

## Proof of Theorem 3.2.1 (Cont'd)

> Induction I3':


## DFAs and Regular Languages

## Proof of Theorem 3.2.1 (Cont'd)

## > Induction 13 ':


(EF)


## So Far...



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> Is the inclusion strict?
>Are there languages accepted by DFAs that are not regular?

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> Idea: prove that (a) each $R(i, j, k)$ is regular, and (b) $L(A)$ is a union of $R(i, j, k)$ 's.

## DFAs and Regular Languages

## Proof of Theorem 3.2.4 (Cont'd)

$>$ Note that $L(A)=\bigcup_{j: q_{j} \in F} R(0, j, n)$. (i.e., paths that start in $q_{0}$ and end in an accepting state with intermediate nodes $q_{0}, q_{1}, \ldots, q_{n-1}$ (all nodes))

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> $R(i, j, 0)$ consists of strings whose corresponding paths start in $q_{i}$ and end in $q_{j}$ with intermediate nodes $q_{\ell}, \ell<0$.

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DFAs and Regular Languages

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$\Rightarrow R(i, j, 0)$ is a regular language [Corollary 2]
> Induction: Let $R(i, j, \ell)$ be regular for $i, j \in\{0, \ldots, n-1\}$ and $0 \leq \ell<k$. Consider $R(i, j, k)$ for $i, j \in\{0, \ldots, n-1\}$.

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## DFAs and Regular Languages

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DFAs and Regular Languages

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$>$ Hence, $R(i, j, k)=R(i, j, k-1) \cup\{$ Case (b) strings $\}$.

## DFAs and Regular Languages

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Case (b) path
> Each case (b) string is the concatenation of 3 strings:

## DFAs and Regular Languages

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## DFAs and Regular Languages

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2. A finite concatenation of strings, each of which take $q_{k-1}$ back to $q_{k-1}$ via paths that use only $q_{0}, \ldots, q_{k-2}$ as intermediate nodes. i.e., i.e., $R(k-1, k-1, k-1)^{*}$

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Thus,

$$
R(i, j, k)=R(i, j, k-1) \cup\left[R(i, k-1, k-1) R(k-1, k-1, k-1)^{*} R(k-1, j, k-1)\right]
$$

> From Thm 3.2.2, it follows that $R(i, j, k)$ is regular for any $i, j, k$. Thus, $L(A)$ is regular.

## Equivalence of Languages

> The following are indeed equivalent:
> The class of regular languages
> The class of languages accepted by DFAs
> The class of languages accepted by NFAs
> The class of languages accepted by $\epsilon$-NFAs

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> Intersection:
De Morgan's Law: $R_{1} \cap R_{2}=\left(R_{1}^{c} \cup R_{2}^{c}\right)^{c}$
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> However, we can evaluate abstract regular expressions by assigning any languages to variables, and inductively interpreting:
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> We can introduce a notion of equality of (abstract) regular expression:
For any assignment of languages to the
Abstract regular expressions $E_{1}=E_{2} \Leftrightarrow$ variables contained in $E_{1}, E_{2}$, their evaluations equal (i.e., $L\left(E_{1}\right)=L\left(E_{2}\right)$ )

## Algebraic Laws of Abstract Regular Expressions

> Commutativity: $\mathrm{L}+\mathrm{M}=\mathrm{M}+\mathrm{L}$ (Union is commutative) $\mathrm{LM} \neq \mathrm{ML}$ (Concatenation is not commutative)
> Associativity: $(\mathrm{L}+\mathrm{M})+\mathrm{N}=\mathrm{L}+(\mathrm{M}+\mathrm{N})$ (Union is associative) $(\mathrm{LM}) \mathrm{N}=\mathrm{L}(\mathrm{MN})$ (Concatenation is associative)
> Identity: $\emptyset+\mathrm{L}=\mathrm{L}+\emptyset=\mathrm{L}(\emptyset$ is the identity element for + )

$$
\epsilon \mathrm{L}=\mathrm{L} \epsilon=\mathrm{L}(\epsilon \text { is the identity element for concatenation })
$$

>Annihilator: $\emptyset \mathrm{L}=\mathrm{L} \emptyset=\emptyset$
> Idempotent: $\mathrm{L}+\mathrm{L}=\mathrm{L}$
> Distributive: $\mathrm{L}(\mathrm{M}+\mathrm{N})=\mathrm{LM}+\mathrm{LN}$

$$
(\mathrm{M}+\mathrm{N}) \mathrm{L}=\mathrm{ML}+\mathrm{NL}
$$

>Kleene $*:\left(\mathrm{L}^{*}\right)^{*}=\mathrm{L}^{*} ; \quad \emptyset^{*}=\epsilon ; \quad \epsilon^{*}=\epsilon$.

