

COMP3630 / COMP6363

week 3: **Pushdown Automata**

This Lecture Covers Chapter 6 of HMU: Pushdown Automata

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The Australian National University

Semester 1, 2023

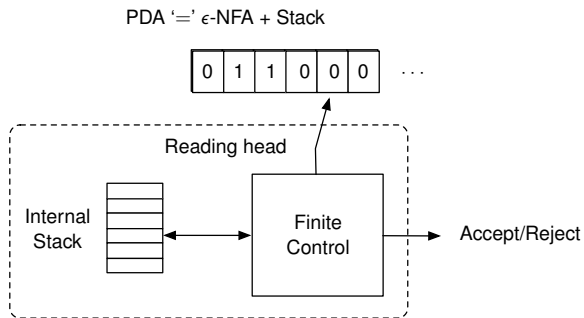
Content of this Chapter

- Pushdown Automata (PDA)
- Language accepted by a PDA
- Equivalence of CFGs and the languages accepted by PDAs
- Deterministic PDAs

Additional Reading: Chapter 6 of HMU.

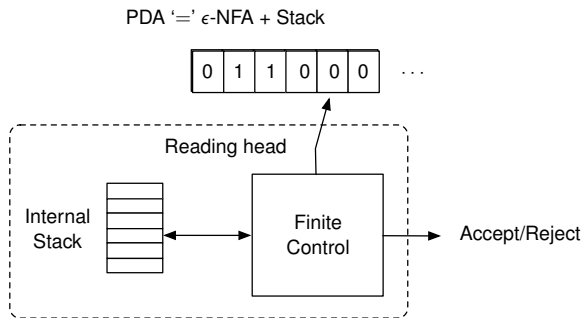
Introduction to PDAs

> PDA '=' ϵ -NFA + Stack (LIFO)



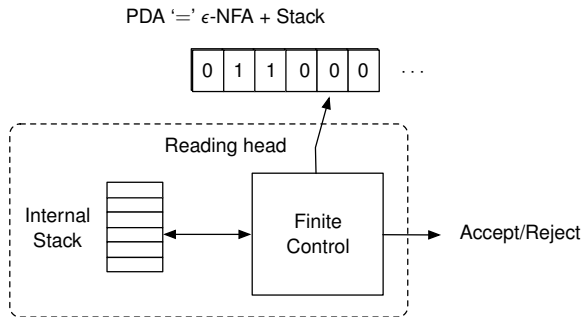
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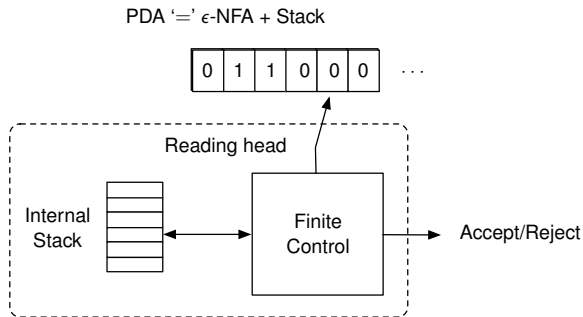
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- > Once the string is read, the PDA decides to accept/reject the input string.
- > Note: The PDA can only read a symbol once (i.e., the reading head is unidirectional).



PDA: Formal Definition

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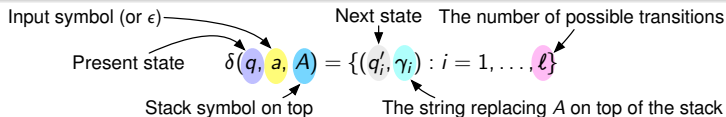
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- > Γ is the finite alphabet of stack symbols;
- > $\delta : Q \times (\Sigma \cup \{\epsilon\}) \times \Gamma \rightarrow 2^{Q \times \Gamma^*}$ is a partial function such that $\delta(q, a, \gamma)$ (if defined) is a finite set of pairs $(q', \gamma') \in Q \times \Gamma^*$. // **This is non-deterministic! Why?**
- > We have a partial function since we don't need to define transitions for all possible values in $Q \times (\Sigma \cup \{\epsilon\}) \times \Gamma$.
- > However, note that using a “normal” (i.e., non-partial) function is still correct when defining $\delta(q, a, A) = \emptyset$ for $q \in Q$, $a \in \Sigma^* \cup \{\epsilon\}$, and $A \in \Gamma$ whenever we want no transition for $\delta(q, a, A)$. (Since we don't actually have a transition in these cases when mapping to an empty set.)

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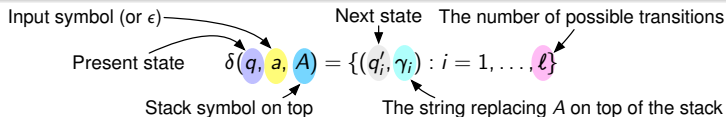


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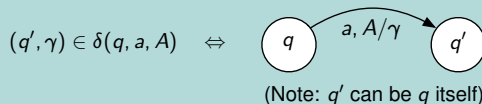


Convention: lower case symbols $s, a,$ and b will denote input symbols; lower case symbols u, v, w, x, \dots will exclusively denote strings (sequences!) of input symbols; stack symbols are indicated by upper case letters (e.g., $A, B,$ etc); strings of stack symbols are indicated by greek letters (e.g., $\alpha, \beta,$ etc);

A PDA Example

Transition Diagram Notation

Notation: The label $a, A/\gamma$ on the edge from a state q to q' indicates a possible transition from state q to state q' by reading the symbol a when the top of the stack contains the symbol A . This stack symbol is then replaced by the string γ .



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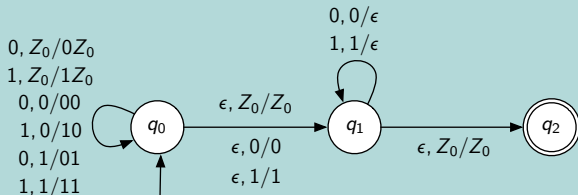
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(Note: q' can be q itself)

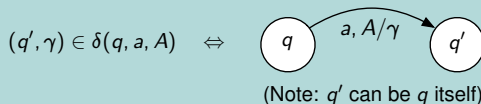
PDA that accepts ???



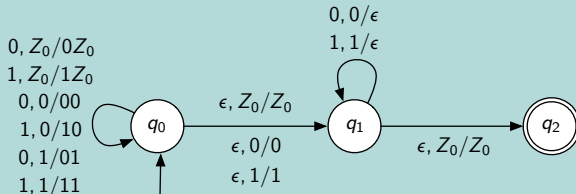
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PDA that accepts $L = \{ww^R : w \in \{0,1\}^*\}$



Language Accepted by a PDA

Definitions

- › The **Configuration** or **Instantaneous Description (ID)** of a PDA P is a triple $(q, w, \gamma) \in Q \times \Sigma^* \times \Gamma^*$ where:
- (i) q is the state of the PDA;
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$$(q, a\mathbf{w}, A\alpha) \vdash_P (q', \mathbf{w}, \gamma\alpha), \quad [\text{one-step computation}]$$

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$$(q, aw, A\alpha) \vdash_P (q', w, \gamma\alpha), \quad [\text{one-step computation}] \quad (\text{What if we "read" } \epsilon?)$$
- > **(multi-step) computation**, denoted by \vdash_P^* , indicates configuration change due to zero or any finite number of consecutive PDA transitions.
 - > $ID \vdash_P^* ID'$ if there are k IDs ID_1, \dots, ID_k (for some $k \geq 1$) such that:
 - (i) $ID_1 = ID$ and $ID_k = ID'$, and
 - (ii) for each $i = 1, \dots, k - 1$, $ID_i \vdash_P ID_{i+1}$.

Beware of IDs!

Lemma 6.2.1

Let PDA $P = (Q, \Sigma, \Gamma, \delta, q_0, Z_0, F)$ be given. Let $q, q' \in Q$, $x, y, w \in \Sigma^*$, and $\alpha, \beta, \gamma \in \Sigma^*$. Then the following hold.

$$(q, x, \alpha) \stackrel{*}{\vdash}_P (q', y, \beta) \Leftrightarrow (q, xw, \alpha) \stackrel{*}{\vdash}_P (q', yw, \beta) \quad (1)$$

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Proof Idea

- > (1) What hasn't been read cannot affect configuration changes
- > (2) PDA transitions cannot occur on empty stack. So the $(q, x, \alpha) \vdash_P^* (q', y, \beta)$ must not access any location beneath the last symbol of x .

Remark: Think about why is the reverse implication of (2) not true.

Language Accepted by PDAs

Definition

Given PDA $P = (Q, \Sigma, \Gamma, \delta, q_0, Z_0, F)$, the language accepted by P by final states is

$$L(P) = \left\{ w \in \Sigma^* : (q_0, w, Z_0) \stackrel{*}{\vdash}_P (q, \epsilon, \alpha) \text{ for some } q \in F, \alpha \in \Gamma^* \right\}.$$

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- > Pick a DFA A such that $L(A) \neq \emptyset$. Convert it to a PDA P by pushing each symbol that is read onto the stack, increasing the stack size each time a symbol is read. For the derived PDA, $L(P) = L(A)$. However, $N(P) = \emptyset$.

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- > Which of the two definitions accepts 'more' languages?

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Assuming accepting by final state would be exactly as powerful as accepting by empty stack, what would have to hold?

Let P be a PDA.

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- › Then, there is a PDA P'' , such that $N(P) = L(P'')$.
- Shows that accepting by final state is at least as powerful as accepting by empty stack.

Taken both together we have, if true, that both criteria are equally expressive.

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Given PDA P , there exist PDAs P' and P'' such that $L(P) = N(P')$ and $N(P) = L(P'')$.

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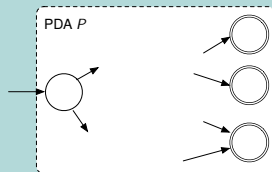
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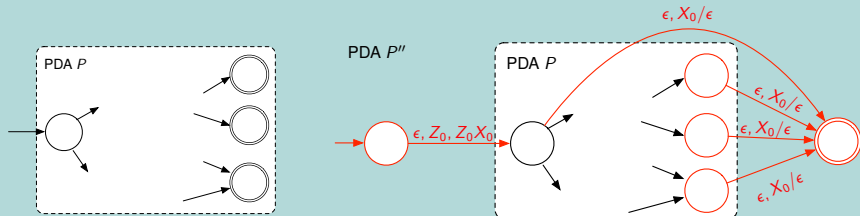


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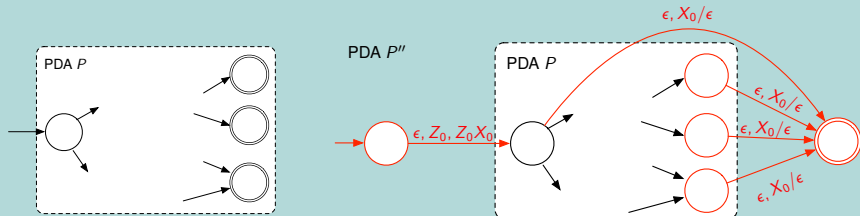


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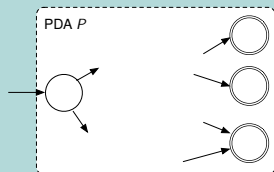
Proof of Existence of P'' such that $N(P) = L(P'')$ (i.e., P accepts by empty stack)



- › Introduce a new start state and a new final state with the transitions as indicated.
- › The start state first replaces the stack symbol Z_0 by Z_0X_0 .
- › If and only if $w \in N(P)$ will the computation by P end with the stack containing precisely X_0 .
- › The PDA P'' then transitions to the final state popping X_0 . Hence, $N(P) = L(P'')$.

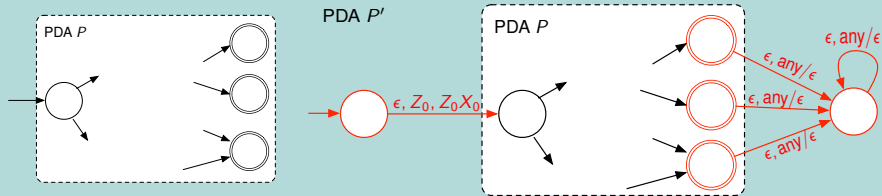
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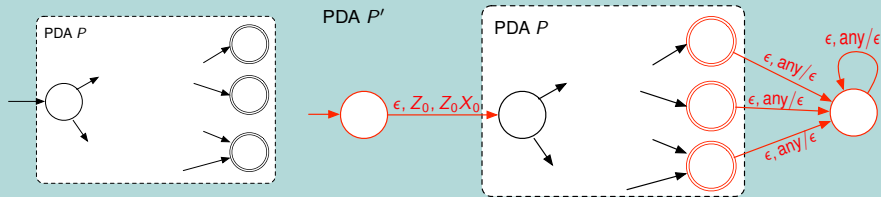
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- > Introduce a new start state and a special state with the transitions as indicated.
- > The start state first replaces the stack symbol Z_0 by Z_0X_0 .
- > If and only if $w \in L(P)$ will the computation by P end in a final state with the stack containing (at least) X_0 . **QUESTION:** Why is this required?
- > The $PDA P'$ then transitions to the special state and starts to pop stack symbols one at a time until the stack is empty. Hence, $L(P) = N(P')$.

CFGs and PDAs

Is every CFL accepted by some PDA and vice versa?

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- > Construct PDA $P = (\{q_0\}, T, V \cup T, \delta, S, \{q_0\})$ with δ defined by
 - [Type 1] $\delta(q_0, a, a) = \{(q_0, \epsilon)\}$, whenever $a \in \Sigma$,
 - [Type 2] $\delta(q_0, \epsilon, A) = \{(q_0, \alpha) : A \rightarrow \alpha \text{ is a production rule in } \mathcal{P}\}$.
- > This PDA mimics all possible leftmost derivations.

CFGs and PDAs

Is every CFL accepted by some PDA and vice versa?

Theorem 6.3.1

For every CFG G , there exists a PDA P such that $N(P) = L(G)$.

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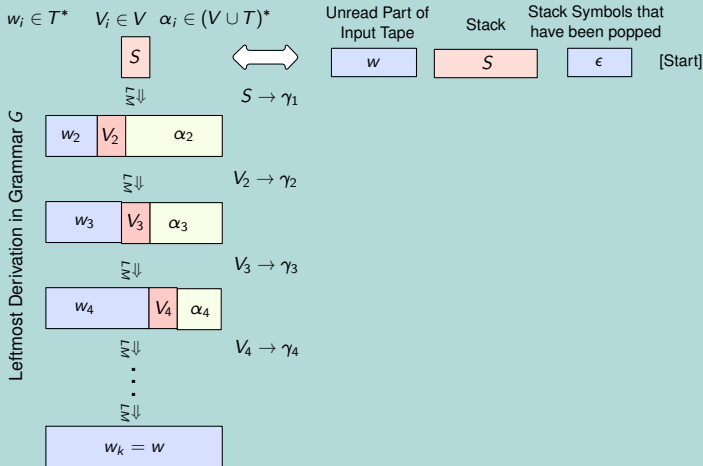
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Remark: That's a great (fun!) exercise to practice by yourself! Just take any grammar.

CFGs and PDAs

(see appendix slide!)

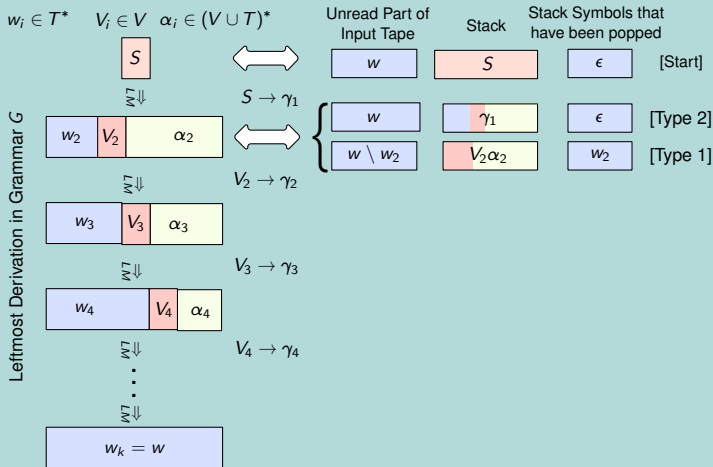
Proof of 1-1 Correspondence between PDA Moves and Leftmost Derivations

Suppose $w \in T^*$ and $S \xrightarrow{LM}^* w$. $x \setminus y := \text{suffix of } y \text{ in } x$.

CFGs and PDAs

(see appendix slide!)

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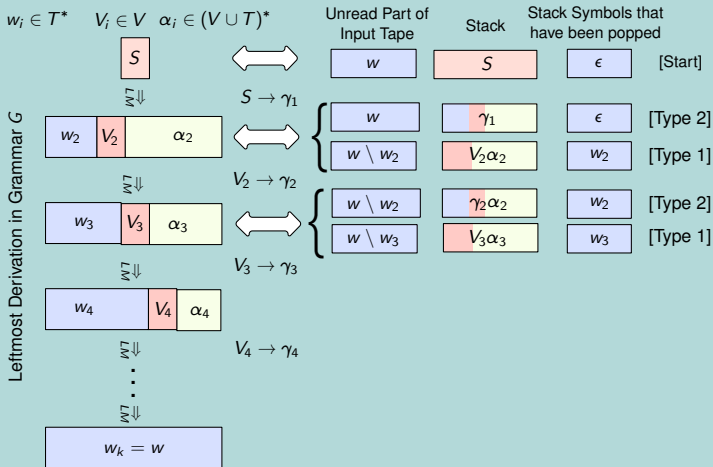
CFGs and PDAs

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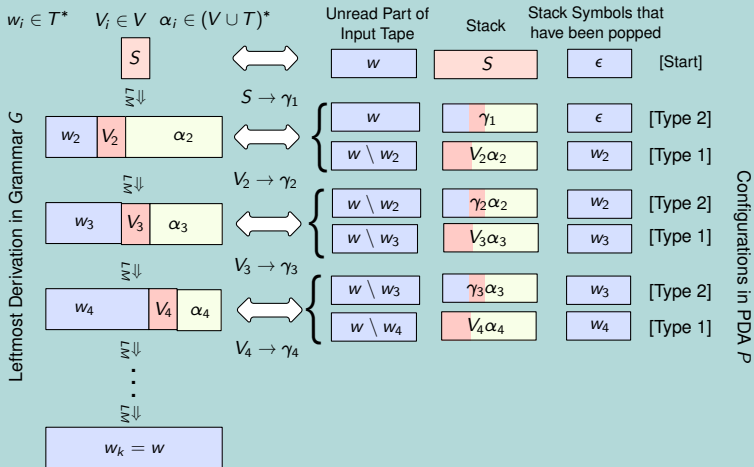
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CFGs and PDAs

(see appendix slide!)

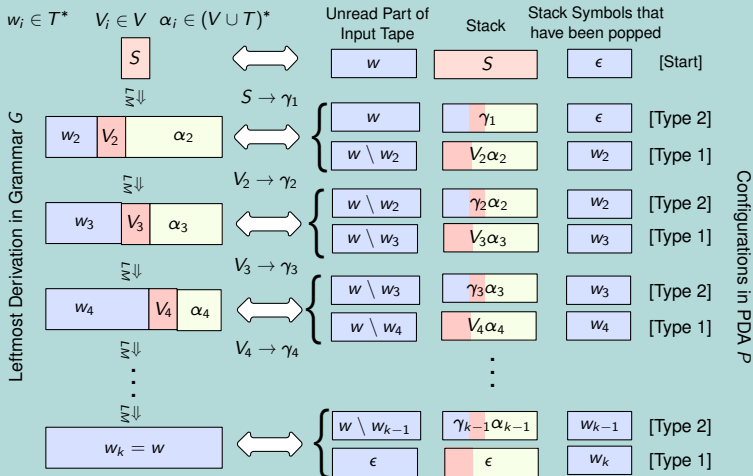
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CFGs and PDAs

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CFGs and PDAs

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CFGs and PDAs

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> Given $P = (Q, \Sigma, \Gamma, \delta, q_0, Z_0, F)$, we define $G = (V, T, \mathcal{P}, S)$ as follows.

> $T = \Sigma$;

> $V = \{S\} \cup \{[pXq] : p, q \in Q, X \in \Gamma\}$;

Interpretation: Each variable $[pXq]$ will generate a terminal string w iff upon reading w (in finite steps) P moves from state p to q popping X from the stack.

CFGs and PDAs

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 - > Suppose that $(r, X_1 \cdots X_\ell) \in \delta(q, a, X)$. Then, for any states $p_1, \dots, p_\ell \in Q$,
 $[qXp_\ell] \rightarrow a[rX_1p_1][p_1X_2p_2] \cdots [p_{\ell-1}X_\ell p_\ell]$. (So these are $\mathcal{O}(|Q|^\ell)$ rules!)
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CFGs and PDAs

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- > We will show $[qXp] \xrightarrow{*}_G w \Leftrightarrow (q, w, X) \vdash_p^* (p, \epsilon, \epsilon)$. We will choose $q = q_0, X = Z_0$.

CFGs and PDAs

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- > **Remark:** Not 100% clear? Translate a small PDA! (One with few states.)

Proof of $(q, w, X) \vdash_P^* (p, \epsilon, \epsilon) \Rightarrow [qXp] \xrightarrow[G]^* w$. (Induction on # of steps of computation)

- › Basis: Let $w \in N(P)$. Suppose there is a one-step computation $(q, w, X) \vdash_P (p, \epsilon, \epsilon)$. Then, $w \in \Sigma \cup \{\epsilon\}$. Since $(p, \epsilon) \in \delta(q, w, X)$, $[qXp] \rightarrow w$ is a production rule.
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CFGs and PDAs

(see appendix slide!)

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\Downarrow Induc.

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CFGs and PDAs

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CFGs and PDAs

(see appendix slide!)

Proof of $[qXp] \xRightarrow{*}_G w \Rightarrow (q, w, X) \vdash_p^* (p, \epsilon, \epsilon)$. (Induction on # of steps of derivation)

- > Basis: Let $[qXp] \xRightarrow{*}_G w$ in one step. Then, $[qXp] \rightarrow w$ must be a production rule. Consequently, $(p, \epsilon) \in (q, w, X)$ and $(q, w, X) \vdash_p^* (p, \epsilon, \epsilon)$.
- > Induction: Let $[qXp] \xRightarrow{*}_G w$.

$$\textcircled{1} [qXp] \xRightarrow{*}_{LM} a[r_0Y_1r_1][r_1Y_2r_2] \cdots [r_{k-1}Y_kp] \xRightarrow{*}_{LM} w$$

CFGs and PDAs

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 \quad \quad \quad \textcircled{2} \quad \quad \quad \begin{array}{c} \Downarrow^* \\ w_1 \end{array}
 \end{array}$$

CFGs and PDAs

(see appendix slide!)

Proof of $[qXp] \xrightarrow_G^* w \Rightarrow (q, w, X) \vdash_P^* (p, \epsilon, \epsilon)$. (Induction on # of steps of derivation)

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CFGs and PDAs

(see appendix slide!)

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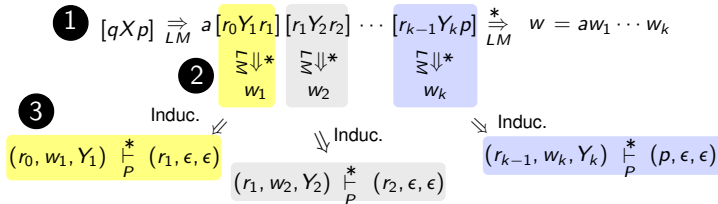
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$$\textcircled{4} \quad (r_0, Y_1 \dots Y_k) \in \delta(q, a, X) \iff (q, a, X) \vdash_P^* (r_0, \epsilon, Y_1 \dots Y_k)$$

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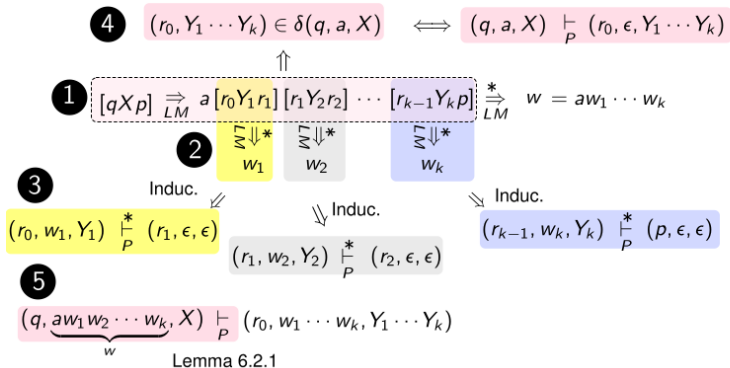
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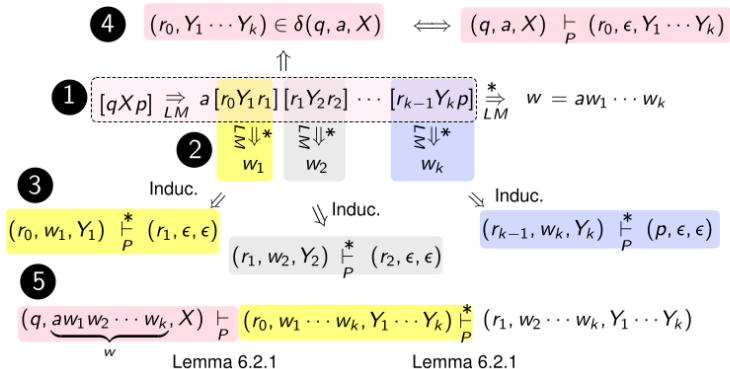


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$$5 \quad (q, \underbrace{aw_1w_2 \dots w_k}_w, X) \vdash_P^* (r_0, w_1 \dots w_k, Y_1 \dots Y_k) \vdash_P^* (r_1, w_2 \dots w_k, Y_2 \dots Y_k) \vdash_P^* \dots \vdash_P^* (p, \epsilon, \epsilon)$$

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Deterministic PDAs (DPDAs)

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Definition

A DPDA P is a PDA $P = (Q, \Sigma, \Gamma, \delta, q_0, Z_0, F)$ such that for each $q \in Q$ and $X \in \Gamma$,

- › $|\delta(q, a, X)| \leq 1$ for any $a \in \Sigma \cup \{\epsilon\}$,
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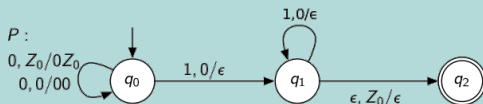
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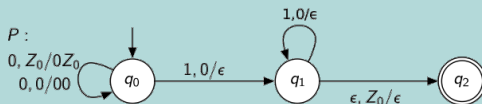
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(We will discuss this later)

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Every regular language L is the language accepted by some DPDA accepting by final states.

Proof

Simply view the DFA accepting L as a DPDA (with the stack always containing Z_0).

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Proof

- › Let $L = \{0\}^*$ (which is regular). It cannot equal $N(P)$ for any DPDA P .
- › Suppose DPDA P accepts L by emptying its stack. Since 0 is accepted, P eventually reaches a configuration (p, ϵ, ϵ) for some state p .
- › Now, suppose that P is fed with the input 00. Since P is **deterministic**, P reads a 0 and eventually has to get to $(p, 0, \epsilon)$. However, it hangs at this configuration and cannot read any further input symbols. Hence, P cannot accept 00.

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Languages Accepted by DPDAs

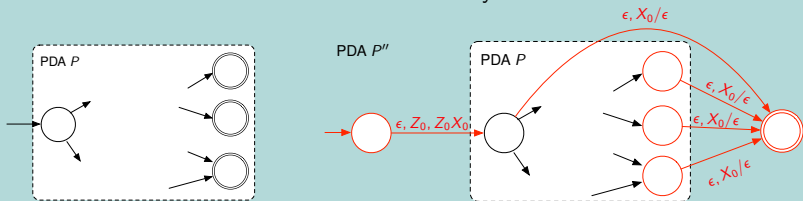
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- \Rightarrow Let $L = N(P)$ for some DPDA P . Let w, ww' be in L with $w' \neq \epsilon$. Then $(q_0, w, Z_0) \stackrel{*}{\vdash}_P (p, \epsilon, \epsilon)$ for some $p \in Q$. The DPDA hangs at this state since the stack is empty. Hence, it cannot accept ww' . The fact that $L = L(P'')$ for some DPDA P'' follows from Theorem 6.2.2 since the construction yields a **deterministic** PDA.



Languages Accepted by DPDAs

Proof \Leftarrow

\Leftarrow Let DPDA P'' be given. Let $w \in L(P'')$, $(q_0, w, Z_0) \stackrel{*}{\vdash}_P (p, \epsilon, \gamma)$ for some $p \in F$, and $\gamma \in \Gamma$.

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- \triangleright Then we can delete all transitions from final states; this does not alter $L(P'')$.

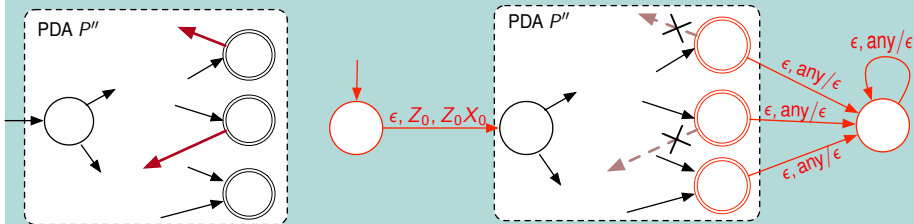
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\triangleright Then we can delete all transitions from final states; this does not alter $L(P'')$.

\triangleright Then, the construction of Theorem 6.2.2 yields a **deterministic** PDA P' such that $N(P') = L(P'') = L$.



DPDAs and Unambiguous Grammars

Theorem 6.4.4

If $L = N(P)$ for some DPDA P , then L has an unambiguous CFG.

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- › Let G be the CFG constructed in Theorem 6.3.2.
- › Suppose G is ambiguous. Then, some $w \in L$ has 2 leftmost derivations.

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Proof

- › Let G be the CFG constructed in Theorem 6.3.2.
- › Suppose G is ambiguous. Then, some $w \in L$ has 2 leftmost derivations.
- › However, each derivation corresponds to a unique trajectory of configurations in P that also accepts w by emptying the stack.

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- › Suppose G is ambiguous. Then, some $w \in L$ has 2 leftmost derivations.
- › However, each derivation corresponds to a unique trajectory of configurations in P that also accepts w by emptying the stack.
- › Since P is deterministic, the trajectories, and hence, the derivations have to be identical. Hence, G is unambiguous.

DPDAs and unambiguous Grammars

Theorem 6.4.5

If $L = L(P)$ for some DPDA P , then L has an unambiguous CFG.

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> Let $\$$ be a symbol not in the alphabet of L .

DPDAs and unambiguous Grammars

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If $L = L(P)$ for some DPDA P , then L has an unambiguous CFG.

Proof

- › Let $\$$ be a symbol not in the alphabet of L .
- › Consider $L' = \{w\$: w \in L\}$. Then, L' has the prefix property.

DPDAs and unambiguous Grammars

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If $L = L(P)$ for some DPDA P , then L has an unambiguous CFG.

Proof

- › Let $\$$ be a symbol not in the alphabet of L .
- › Consider $L' = \{w\$: w \in L\}$. Then, L' has the prefix property.
- › By Theorem 6.4.3, there must exist a DPDA P' such that $L' = N(P')$.

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- › Let $\$$ be a symbol not in the alphabet of L .
- › Consider $L' = \{w\$: w \in L\}$. Then, L' has the prefix property.
- › By Theorem 6.4.3, there must exist a DPDA P' such that $L' = N(P')$.
- › By Theorem 6.4.4, L' has an unambiguous CFG $G' = (V, T, \mathcal{P}, S)$.

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Proof

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- › Consider $L' = \{w\$: w \in L\}$. Then, L' has the prefix property.
- › By Theorem 6.4.3, there must exist a DPDA P' such that $L' = N(P')$.
- › By Theorem 6.4.4, L' has an unambiguous CFG $G' = (V, T, \mathcal{P}, S)$.
- › Define CFG $G = (V \cup \{\$\}, T \setminus \{\$\}, \mathcal{P} \cup \{\$ \rightarrow \epsilon\}, S)$. G generates L .

DPDAs and unambiguous Grammars

Theorem 6.4.5

If $L = L(P)$ for some DPDA P , then L has an unambiguous CFG.

Proof

- › Let $\$$ be a symbol not in the alphabet of L .
- › Consider $L' = \{w\$: w \in L\}$. Then, L' has the prefix property.
- › By Theorem 6.4.3, there must exist a DPDA P' such that $L' = N(P')$.
- › By Theorem 6.4.4, L' has an unambiguous CFG $G' = (V, T, \mathcal{P}, S)$.
- › Define CFG $G = (V \cup \{\$\}, T \setminus \{\$\}, \mathcal{P} \cup \{\$ \rightarrow \epsilon\}, S)$. G generates L .
- › Proof by contradiction: Suppose G is ambiguous.

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- › Thus, the portions of the two leftmost derivations without the last production step (which corresponds to G') must allow two different LM derivations.
- › Hence, G' must be ambiguous, contradiction! Hence, G must unambiguous.

Explanation for Slide 13

- > \Rightarrow Suppose we want to show that if there is a derivation in G generating w , then there is a trajectory in P accepting w . To do that let $S \xRightarrow[LM]{*} w$.
- > Then there must be a LM derivation as in the left column. In each step of the leftmost derivation, a part of the string w is uncovered, and the uncovered part is succeeded by a non-terminal.
- > Let after $i = 1, \dots, k - 2$ production uses: (1) the prefix w_{i+1} of w be uncovered (shown in purple); (2) the leftmost non-terminal be V_{i+1} (shown in orange); and (3) is the string to the right of the leftmost non-terminal α_{i+1} that contains both terminal and non-terminal symbols (shown in beige).
- > After the k^{th} production rule, we have derived $w_k = w$.
- > Now suppose $S \rightarrow \gamma_1 = w_2 V_2 \alpha_2$, $V_2 \rightarrow \gamma_2$, \dots , $V_{k-1} \rightarrow \gamma_{k-1}$ be the $k - 1$ production rules used in the leftmost derivation.
- > Now let us show that a trajectory exists for P using the above information we have laid out.
- > Since there is only one state for the PDA, the right part of the slide presents only the portion of tape yet to be read, and the stack contents; additionally, it also gives the **string of terminals** that has been popped up until any point in time.
- > Initially, the tape contains w , the stack contains S , and ϵ has been popped thus far.

Explanation for Slide 13 (Continued)

- Now since $S \rightarrow \gamma_1$ is a valid production rule, by the definition of P , there is a Type-2 transition that reads nothing from the input tape, reads S from the stack and pushes $\gamma_1 := w_2 V_2 \alpha_2$ onto the stack. Thus, the following one-step computation is valid

$$(q_0, w, S) \vdash_P (q_0, w, w_2 V_2 \alpha_2).$$

- Note that w_1 is the prefix of w uncovered after the first step of the derivation, and hence matches the first few symbols of w . Then, it is clear that one can perform $|w|$ Type-1 transitions that pop each of these symbols from the stack. Thus, after popping $|w_1|$ symbols, we see that:

$$(q_0, w, S) \vdash_P (q_0, w, w_2 V_2 \alpha_2) \stackrel{*}{\vdash}_P (q_0, w \setminus w_2, V_2 \alpha_2),$$

where we let $w \setminus w_2$ to denote the suffix of w_2 in w .

- Now, note that $V_2 \rightarrow \gamma_2$ is a valid production rule; hence, there is a valid one-step computation from $(q_0, w \setminus w_2, V_2 \alpha_2)$ that uses the corresponding Type-2 transition. The resultant configuration change will then be

$$(q_0, w, S) \vdash_P (q_0, w, w_2 V_2 \alpha_2) \stackrel{*}{\vdash}_P (q_0, w \setminus w_2, V_2 \alpha_2) \vdash_P (q_0, w \setminus w_2, (w_3 \setminus w_2) V_3 \alpha_3),$$

where $(w_3 \setminus w_2) V_3 \alpha_3 := \gamma_2 \alpha_2$.

Explanation for Slide 13 (Continued)

- > Again, we see that a portion of the top of the stack contains $w \setminus w_2$, which matches the initial segment of the input tape. Then there is a valid multi-step computation involving $|w_3 \setminus w_2|$ Type-1 transitions that pops $w_3 \setminus w_2$. The resultant configuration will then be $q_0, w \setminus w_3, V_3\alpha_3$.
- > Now, this proceeds until all of w is exhausted (read) from the input tape, and the configuration at the end will be $(q_0, \epsilon, \epsilon)$. Since the stack is empty, the original string w will be accepted.
- > \Leftarrow The direction that a trajectory accepting w in P implies a derivation of w in G is simply arguing the above in the reverse direction using the facts that:
 - > a trajectory for accepting w in P must consist only of Type-1 and Type-2 transitions, and each Type-2 transition corresponds to a unique production in G .
 - > The argument is literally the same as above except that we now uncover the production rule from the corresponding Type-2 transition.

Explanation for Slide 15

Inductive proof for $(q, w, X) \vdash_p^* (p, \epsilon, \epsilon) \Rightarrow [qXp] \xrightarrow{G}^* w$ based on length of computation.

- ▷ Basis: Let $(q, w, X) \vdash_p^* (p, \epsilon, \epsilon)$ be a one-step computation. Thus, w has to be an input symbol or ϵ . Then, by definition of one-step computation it **must** be true that $(p, \epsilon) \in \delta(q, w, x)$, where $X = xX'$. Then, by the construction of G , we have $[qXp] \rightarrow w$ (see Slide 12 for the construction), and hence $[qXp] \xrightarrow{G}^* w$.
- ▷ Induction: $(q, w, X) \vdash_p^* (p, \epsilon, \epsilon)$ in say $k > 1$ steps. Let us assume that in the first step of the computation, the symbol a is read from the input tape (or $a = \epsilon$). Let $w = ax$. Let's break the k -step computation to a single step followed by a $k - 1$ -step computation as detailed in 1 (encircled in black). Let r_1 be the state of the PDA after the first step and let X be popped and $Y_1 \cdots Y_k$ be pushed onto the stack after the first step/transition/move.
- ▷ Now, the claim is that the $k - 1$ step portion of the computation can be expanded into the sequence of computations as given in 2 (encircled in black). The reasoning is as follows. The ID $(r_1, x, Y_1 \cdots Y_k)$ eventually changes to (p, ϵ, ϵ) . There must be a finite number of moves after which the effective stack change is the popping of Y_1 , i.e., after a finite number of steps Y_2 is at the top **for the very first time**. The steps until then could have popped Y_1 , pushed a string, and then popped it eventually to reveal Y_2 at the top.

Explanation for Slide 15 (Continued)

- Let w_1 be the portion of the input tape read and r_2 be the state of the PDA when this intermediate ID where Y_2 is at the top of the stack (i.e., the stack contains $Y_2 \cdots Y_k$) is attained. Thus,

$$(r, x, Y_1 \cdots Y_k) \stackrel{*}{\vdash}_P (r_2, x \setminus w_1, Y_2, \cdots Y_k) \stackrel{*}{\vdash}_P (p, \epsilon, \epsilon),$$

where again we let $w \setminus w_1$ to be the suffix of w_1 in w .

- By a similar argument, after reading another segment, say w_2 , of the input tape and reaching (some) state r_3 , the top of the stack of the PDA contains Y_3 **for the very first time**. Thus,

$$(r, x, Y_1 \cdots Y_k) \stackrel{*}{\vdash}_P (r_2, x \setminus w_1, Y_2, \cdots Y_k) \stackrel{*}{\vdash}_P (r_3, x \setminus (w_1 w_2), Y_3, \cdots Y_k) \stackrel{*}{\vdash}_P (p, \epsilon, \epsilon).$$

- Proceeding inductively, we see that 2 (encircled in black) holds. Note that x is then equal to the concatenation of the w_i 's, i.e., $x = w_1 \cdots w_k$.
- Now focus on the computation within the blue block in 2. In no intermediate ID of the computation is Y_2 at the top of the stack (since $(r_2, x \setminus w_1, Y_2, \cdots Y_k)$ is the very first time Y_2 is at the top of the stack). Thus, the stack contents $Y_2 \cdots Y_k$ are never visited in this first set of moves, and hence, we see that

$$(r_1, x, Y_1 \cdots Y_k) \stackrel{*}{\vdash}_P (r_2, x \setminus w_1, Y_2, \cdots Y_k) \Rightarrow (r_1, w_1, Y_1) \stackrel{*}{\vdash}_P (r_2, \epsilon, \epsilon). \quad (3)$$

Explanation for Slide 15 (Continued)

- › Similarly, we see that the in portion of the computation in orange, no intermediate ID of the computation has Y_3 at the top of the stack (since $(r_3, x \setminus (w_1 w_2), Y_3, \dots Y_k)$ is the very first time Y_3 is at the top of the stack). Hence,

$$(r_2, x \setminus w_2 \cdots w_k, Y_2, \dots Y_k) \stackrel{*}{\vdash}_P (r_3, w_2 \cdots w_k, Y_3 \cdots Y_k) \Rightarrow (r_2, w_2, Y_2) \stackrel{*}{\vdash}_P (r_3, \epsilon, \epsilon). \quad (4)$$

- › We can proceed inductively to argue that $(r_i, w_i, Y_i) \stackrel{*}{\vdash}_P (r_{i+1}, \epsilon, \epsilon)$ for $i = 1, \dots, k - 1$.
- › Now each of these derivations $(r_i, w_i, Y_i) \stackrel{*}{\vdash}_P (r_{i+1}, \epsilon, \epsilon)$ for $i = 1, \dots, k - 1$ contain $k - 1$ or less steps, because the number of steps they contain is at least one-less than the number of steps in the computation in 1 (encircled in black).
- › Consequently, by the induction hypothesis, we have $[r_i Y_i r_{i+1}] \stackrel{*}{\xrightarrow{G}} w_i, i = 1, \dots, k - 1$.

By the very same argument $[r_k Y_k p] \stackrel{*}{\xrightarrow{G}} w_k$.

- › Now focus on the yellow box at the top, the first one-step computation guarantees that there exists a production rule

$$[qXp] \rightarrow a[r_1 Y_1 r_2][r_2 Y_2 r_3] \cdots [r_{k-1} Y_{k-1} r_k][r_k Y_k p]. \quad (5)$$

Now combining the above production with the known derivations in 4 (encircled in black), we see that $[qXp] \stackrel{*}{\xrightarrow{G}} aw_1 \cdots w_k = ax = w$.

Explanation for Slide 16

Inductive proof for $(q, w, X) \vdash_P^* (p, \epsilon, \epsilon) \Leftarrow [qXp] \xrightarrow_G^* w$ based on length of leftmost derivation.

- \triangleright Basis: $[qXp] \xrightarrow{LM}^* w$ be a one-step derivation. This can be possible only if $(p, \epsilon) \in (q, w, X)$, which then means $(q, w, X) \vdash_P^* (p, \epsilon, \epsilon)$.
- \triangleright Induction: Let $[qXp] \xrightarrow_G^* w$ in $k > 1$ steps. As in the previous direction, let us split the leftmost derivation into the first step and then rest.
- \triangleright The first step must involve the application of some production rule, say, $[qXp] \rightarrow a[r_0 Y_1 r_1][r_1 Y_2 r_2] \cdots [r_{k-1} Y_k p]$.
- \triangleright By 1 (encircled in 1) each non-terminal $[r_{i-1} Y_i r_i]$ $i = 1, \dots, k$ must derive (via a leftmost derivation) a segment of w , say w_i in $k - 1$ steps or less. [w_i is the yield of the parse subtree in the parse tree of $[qXp]$ with yield w , and the depth of the subtree is at most 1 less than the depth of the parse tree of $[qXp]$.].
- \triangleright Hence, $[r_{i-1} Y_i r_i] \xrightarrow{LM}^* w_i$ for $i = 1, \dots, k$ in $k - 1$ steps or less (I've set $r_k = p$ here).

By induction hypothesis, then $(r_{i-1}, w_i, Y_i) \vdash_P^* (r_i, \epsilon, \epsilon)$.
- \triangleright Then by Lemma 6.2.1, $(r_{i-1}, w_i \cdots w_k, Y_i \cdots Y_k) \vdash_P^* (r_i, w_{i+1} \cdots w_k, Y_{i+1} \cdots Y_k)$. Thus,

$$(q, w, X) \vdash_P^* (r_0, w_1 \cdots w_k, Y_1 \cdots Y_k) \vdash_P^* (r_1, w_2 \cdots w_k, Y_2 \cdots Y_k) \vdash_P^* (r_k, \epsilon, \epsilon) = (p, \epsilon, \epsilon).$$