

COMP3630 / COMP6363

*week 6:* **Decidability and Undecidability**

This Lecture Covers Chapter 9 of HMU: Decidability and Undecidability

*slides created by:* Dirk Pattinson, based on material by  
Peter Hoefner and Rob van Glabbeek; with improvements by Pascal Bercher

*convenor & lecturer:* Pascal Bercher

**The Australian National University**

Semester 1, 2023

## Content of this Chapter

- › Preliminary Ideas
- › Example of a non-RE language
- › Recursive languages
- › Universal Language
- › Reductions of Problems
- › Rice's Theorem
- › Post's Correspondence Problem
- › Undecidable Problems about CFGs

**Additional Reading:** Chapter 9 of HMU.

## Enumeration of (Binary) Strings

- › We can construct a bijective map  $\phi$  from the set of binary strings  $\{0, 1\}^*$  to natural numbers  $\mathbb{N}$ .
- Why might that appear surprising?
  - Because each number has a unique binary encoding, but for each we could add an arbitrary number of zeros in the front, so there seem to be more strings over  $\{0, 1\}$  than numbers in  $\mathbb{N}$ .

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› Enlist all strings ordered by length, and for each length, order using lexicographic ordering.

$w$	$\phi(w)$
$\epsilon$	0
0	1
1	2
00	3
01	4
10	5
11	6
000	7
$\vdots$	$\vdots$
111	16
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$\vdots$	$\vdots$
1111	32
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› Enlist all strings ordered by length, and for each length, order using lexicographic ordering.

› The set of finite binary strings is countable/denumerable.

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## A Code for Turing Machines

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- › Consider  $M = (Q, \Sigma = \{0, 1\}, \Gamma = \{0, 1, B, X_4, \dots, X_\ell\}, \delta, q_1, B, F)$ .
  - › Rename states  $\{q_1, \dots, q_k\}$  for  $k = |Q|$  with  $q_1$ : start state and  $q_k$ : final state.
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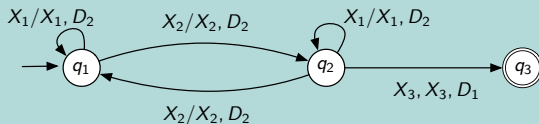
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- › Map each transition tuple  $(i, j, k, l, m)$  to a **unique** binary string  $0^i 1 0^j 1 0^k 1 0^l 1 0^m$ .  
NB: No string representing a transition tuple contains 11.

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NB: No string representing a transition tuple contains 11.
- › Order transition tuples lexicographically and concatenate all transitions using **11** to indicate end of a transition. Let the resultant string be  $w_M$ . For example, 3 transitions can be combined as
 
$$\underbrace{0^{i_1} 1 0^{j_1} 1 0^{k_1} 1 0^{l_1} 1 0^{m_1}}_{\text{1st transition}} \mathbf{11} \underbrace{0^{i_2} 1 0^{j_2} 1 0^{k_2} 1 0^{l_2} 1 0^{m_2}}_{\text{2nd transition}} \mathbf{11} \underbrace{0^{i_3} 1 0^{j_3} 1 0^{k_3} 1 0^{l_3} 1 0^{m_3}}_{\text{3rd transition}}$$
- › For each TM  $M$ , define the code  $\langle M \rangle$  for TM  $M$  as  $w_M$ .

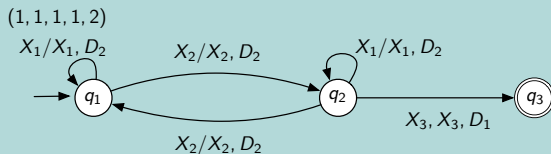
# The Set of Turing Machines

An Example: A TM that accepts strings with odd # of 1s



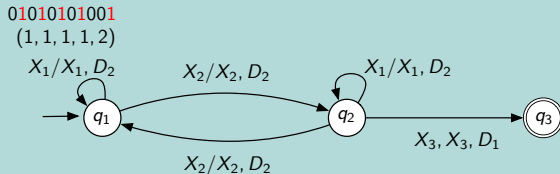
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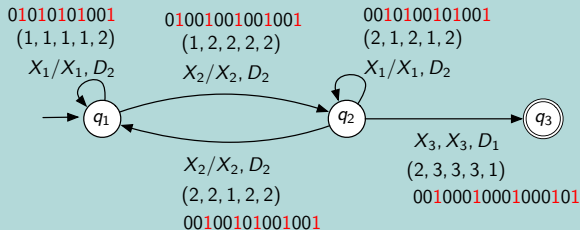
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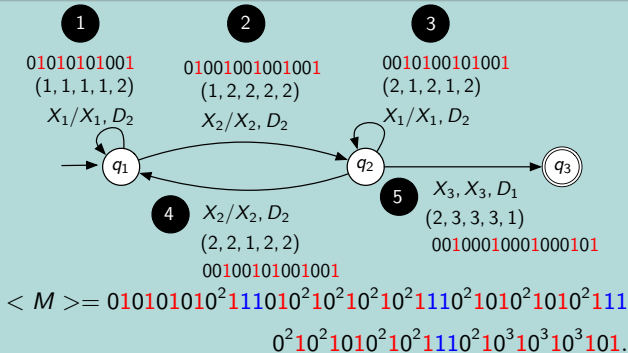
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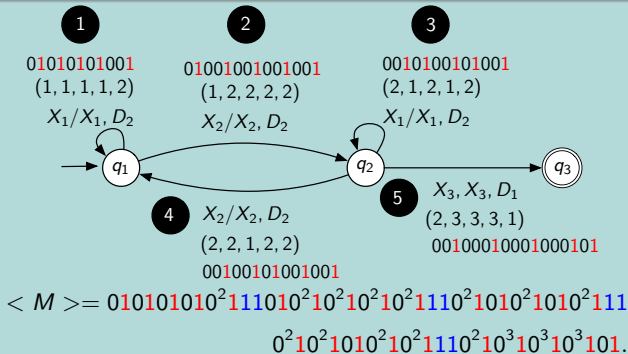
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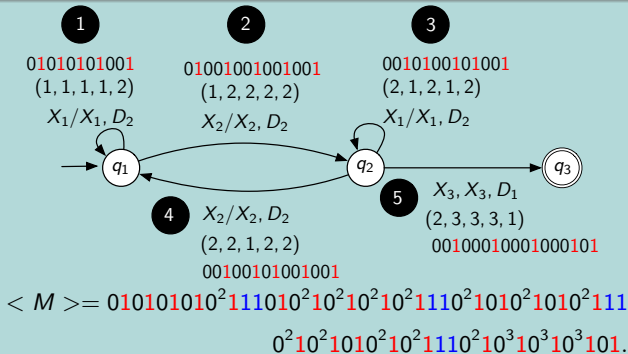
## Remark 9.1.1

- Each TM  $M$  encoding has a unique natural number, i.e.,  $\phi(\langle M \rangle)$ ;
- Each TM  $M$  may have several codes  $\langle M \rangle$  and thus several numbers;
- but each natural number corresponds to **at most one** TM.



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 but each natural number corresponds to **at most one** TM.
- The set of TMs/RE languages/CFLs/regular languages is countable.

# Diagonalization Language $L_d$

- > Let  $M_i$  be the TM s.t.  $\phi(\langle M_i \rangle) = i$ . (If for an  $i$ , no such TM exists, we let  $M_i$  to be the TM with 1 state, no transitions and no final state, i.e., it accepts no input).
- > Construct an infinite table. Rows:  $M_0, M_1, \dots$  as above and cols: All Strings according to slide 3. Cell  $(i, j) = 1$  iff  $M_i$  accepts  $w_j := \phi^{-1}(j)$ .
- > Define a language  $L_d = \{w_j : M_j \text{ does not accept } w_j, \text{ where } j \in \mathbb{N}\}$ .

	✓ $\epsilon$ $\phi^{-1}(0)$	$0$ $\phi^{-1}(1)$	$1$ $\phi^{-1}(2)$	✓ $00$ $\phi^{-1}(3)$	$01$ $\phi^{-1}(4)$	✓ $10$ $\phi^{-1}(5)$	$11$ $\phi^{-1}(6)$	...
$M_0$	0 ✓	0	0	0	0	0	0	
$M_1$	1	1	0	0	0	1	1	
$M_2$	0	1	1	1	0	0	1	
$M_3$	1	1	1	0 ✓	0	1	1	
$M_4$	1	0	0	1	1	0	0	
$M_5$	1	1	0	0	0	0 ✓	1	
⋮								

$L_d = \{\epsilon, 00, 10, \dots\}$  † Entries are for illustrative purposes only

$L_d$  is not recursively enumerable language

>  $L_d$  cannot be accepted by **any** TM.

	✓ ε			✓ 00		✓ 10	
	$\phi^{-1}(0)$	$\phi^{-1}(1)$	$\phi^{-1}(2)$	$\phi^{-1}(3)$	$\phi^{-1}(4)$	$\phi^{-1}(5)$	$\phi^{-1}(6)$ ...
$M_0$	0 ✓	0	0	0	0	0	0
$M_1$	1	1	0	0	0	1	1
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# $L_d$ is not recursively enumerable language

- >  $L_d$  cannot be accepted by **any** TM.
- > Assume it were. Then there is a TM  $M_j$  accepting  $L_d$ , i.e.,  $L(M_j) = L_d$ .

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- > But now we get a contradiction:
  - If  $(j, j) = 1$ , then  $w_j \in L(M_j)$ .  
But if  $w_j \in L(M_j)$ , then  $w_j \notin L_d$ , so cell  $(j, j)$  should be 0!  $\nexists$

	✓ ε			✓ 00		✓ 10	
	$\phi^{-1}(0)$	$\phi^{-1}(1)$	$\phi^{-1}(2)$	$\phi^{-1}(3)$	$\phi^{-1}(4)$	$\phi^{-1}(5)$	$\phi^{-1}(6)$
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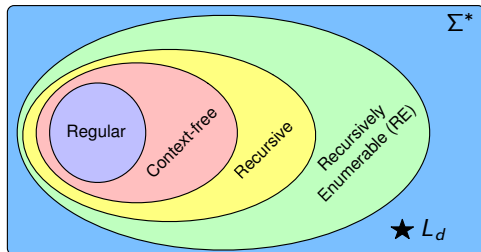
	✓			✓			✓	
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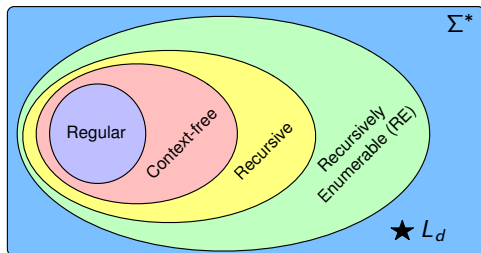
# Recursive Languages

- › A language  $L$  is **recursive** if it is accepted by a TM  $M$  that halts on **all** inputs
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- › Do not confuse deciding with accepting! TMs can accept without always terminating (namely, e.g. for languages in  $RE \setminus R$ , where  $R$  denotes the recursive languages).

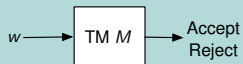


# (Some Obvious) Properties of Recursive Languages

## Theorem 9.3.1

If  $L$  is recursive, so is  $L^c$ .

## Proof of Theorem 9.3.1



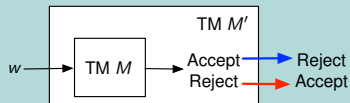
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> Accepting states of  $M$  with  $L(M) = L$  are non-accepting states of  $M'$  with  $L(M') = L^c$ .

> Add a new and only final state  $q_f$  in  $M'$  such that:

$$\delta_M(q, X) \text{ undefined and } q \notin F$$

$$\Downarrow$$

$$\delta_{M'}(q, X) = (q_f, X, R).$$

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## (Some Obvious) Properties of Recursive Languages

### Theorem 9.3.2

*If  $L$  and  $L^c$  are both recursively enumerable, then  $L$  (and  $L^c$ ) are recursive.*

### Proof of Theorem 9.3.2

- › Let  $L = L(M)$  and  $L^c = L(M')$ . Run  $M$  and  $M'$  in parallel using a 2-tape TM.
- › Both TMs cannot halt in final states, and both TMs cannot halt in non-final states.
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### Alternate Definition of Recursive Languages

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# The Universal Language and Turing Machine

## Universal Language $L_u$

$\triangleright L_u := \{\langle M \rangle 111w : \text{TM } M \text{ and } w \in L(M)\}$ . [See Slide 3]

## Universal TM $U$ (modelled as 5-tape TM)

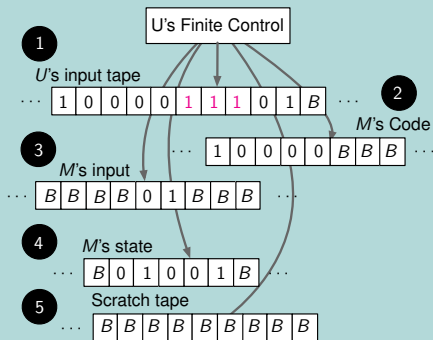
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## Universal TM $U$ (modelled as 5-tape TM)

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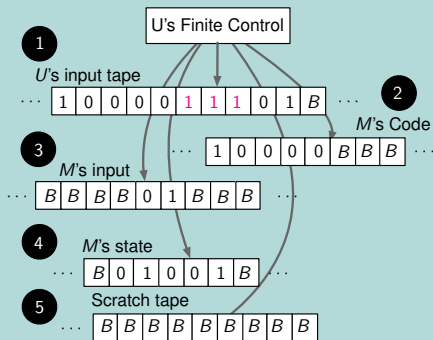
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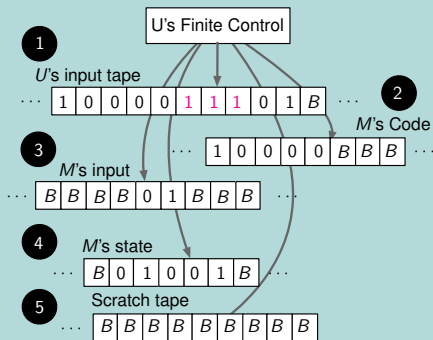
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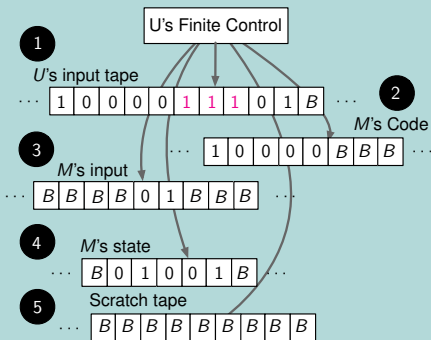
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- Initiates 4th tape with  $0^1$  ( $M$  starts in  $q_1$ )
- To simulate a move of  $M$ ,  $U$  reads tapes 3 and 4 to identify  $M$ 's state and input as  $0^i$  and  $0^j$ ; if state is accepting,  $M$  (and hence  $U$ ) accepts its inputs and halts. Else,  $U$  scans tape 2 for  $110^i10^j1$  or  $BB0^i10^j1$ .
  - > If found, using the transition, tapes 4 and 3 are updated, and tape 3's head moves to right or left.
  - > If not,  $M$  halts, and so does  $U$ .



## Where does $L_U$ Lie in the Hierarchy of Languages?

### Theorem 9.4.1

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### Proof of Theorem 9.4.1

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- > Suppose it were recursive. Then,  $L_U^c$  is also recursive.

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- ›  $L_U$  is recursively enumerable because TM  $U$  accepts it.
- › Suppose it were recursive. Then,  $L_U^c$  is also recursive.
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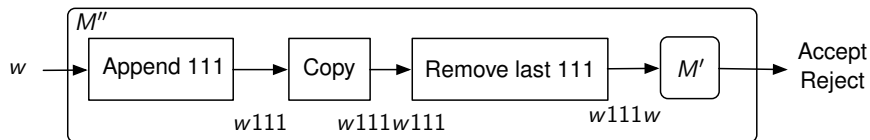
Where does  $L_U$  Lie in the Hierarchy of Languages?

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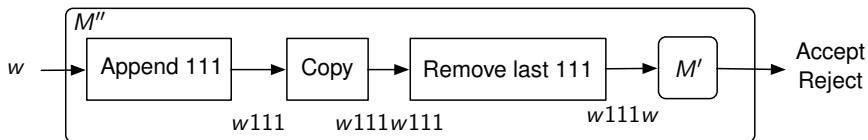
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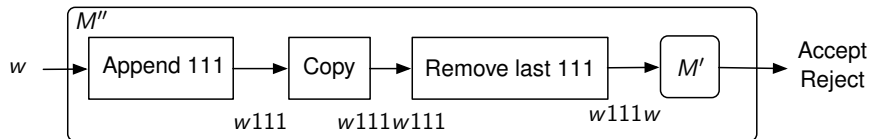
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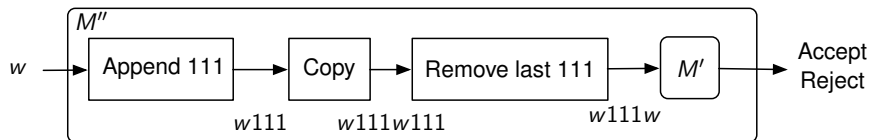
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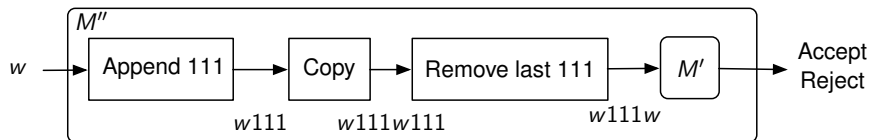
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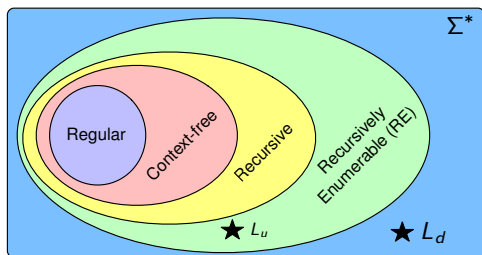
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- >  $M''$  accepts  $w \iff w111w \in L_u^c \iff w111w \notin L_u \iff w \in L_d$ .
- > Then,  $L(M'')$  is the diagonal language  $L_d$ , which is impossible!



## Recap

- › There exists a bijection  $\phi : \Sigma^* \rightarrow \mathbb{N}$ .
- › There exists an injective function  $\langle \cdot \rangle : \text{Set of TMs} \rightarrow \Sigma^*$ .
- › RE languages are countable.



- › The diagonalization Language  $L_d$  is not recursively enumerable.
- › Recursive languages are closed under complementation. (See tutorials for more!)
- › The universal language  $L_u = \{\langle M \rangle 111w : M \text{ accepts } w\}$  is RE, but not recursive.

## What is a Reduction?

- › A decision problem  $P$  is said to reduce to decision problem  $Q$  if **every** instance of  $P$  can be transformed to **some** instance of  $Q$  and a yes (or no) answer to that instance of  $Q$  yields a yes (or no) answer to original instance of  $P$ , respectively.
  - We did already make use of reductions in this lecture multiple times!
  - E.g., reduce the problem of deciding  $L^c$  to the problem of deciding  $L$ : Here the new problem was only a minimal modification, by flipping results (see slide 9).
- › Here, **transform** implies the existence of a Turing machine that takes an instance of  $P$  written on a tape and **always halts** with an instance of  $Q$  written on it.

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### Theorem 9.6.1

If a problem  $P$  reduces to a problem  $Q$  then:

- (a)  $P$  is undecidable  $\Rightarrow Q$  is undecidable.
- (b)  $P$  is non-RE  $\Rightarrow Q$  is non-RE.

# Problem Reduction

## Proof of Theorem 9.6.1

(a)  **$P$  is undecidable  $\Rightarrow Q$  is undecidable.**

Suppose  $P$  is undecidable and  $Q$  is decidable. Let TM  $M_Q$  decide  $Q$ .

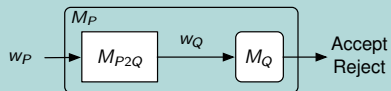
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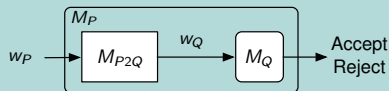
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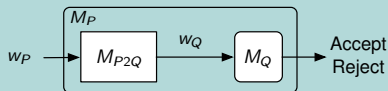
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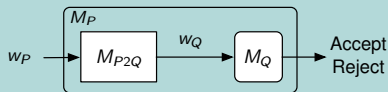
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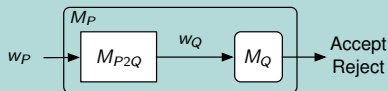
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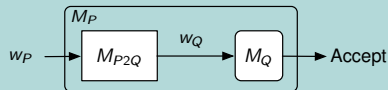


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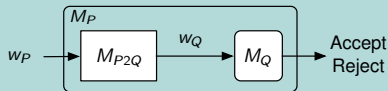
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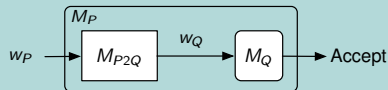


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## Some More Abstract Languages

### Language of TMs Accepting Empty and Non-empty Languages

- >  $L_e = \{\langle M \rangle : L(M) = \emptyset\}$ .
- >  $L_{ne} = \{\langle M \rangle : L(M) \neq \emptyset\}$ . (Note:  $L_{ne} \neq L_e^c$ , because some strings don't encode TMs.)

### Theorem 9.7.1

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### Theorem 9.7.1

$L_{ne}$  is RE.

Note that this theorem doesn't say whether it's recursive or not!

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## Proof of Theorem 9.7.1 (using "dovetailing")

- ▶ In cycle  $k$ ,  $M'$  runs one move of  $M$  for each ID, and adds the initial ID of  $M$  when  $\phi^{-1}(k)$  is on the tape.
- ▶  $ID(i,j)$  = the ID after  $j - 1$  moves when  $M$  reads  $\phi^{-1}(j)$  on its tape.
- ▶ If any ID contains an accepting state,  $M'$  halts as  $M$  would have on that input.

### 1 Input Tape for $M'$

$B \langle M \rangle B$

Finite Control of  $M'$

### 2 Cycle Count

...  $B B B 1 1 B$  ...

### 3 List of IDs of $M$

...  $B ID_1 \uparrow$  ...  $\uparrow ID_k B$  ...

### 4 Scratch Tape

...  $B B B B 0 1 B B B$  ...

Cycle	Tape 1	Tape 2
1	1	$ID(1, 1)$
2	10	$ID(1, 2) \uparrow ID(2, 1)$
3	11	$ID(1, 3) \uparrow ID(2, 2) \uparrow ID(3, 1)$
⋮	⋮	⋮
$k$	$101 \dots 0$	$ID(1, k) \uparrow ID(2, k-1) \uparrow ID(3, k-2) \uparrow \dots \uparrow ID(k, 1)$

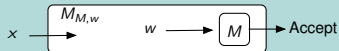
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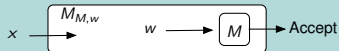
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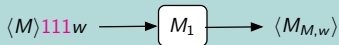
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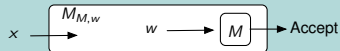
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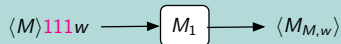
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- $M$  accepts  $w \iff M_{M,w}$  accepts **all** inputs

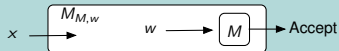
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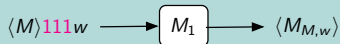
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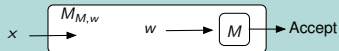
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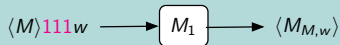
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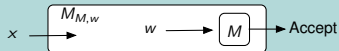
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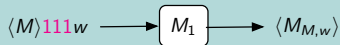
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- Let TM  $M_3$  read  $\langle M \rangle 111w$  and operate as  $M_1$  and then when  $M_1$  halts, operate as  $M_2$ . Then,  $M_3$  accepts/rejects  $\langle M \rangle 111w$  iff  $M$  accepts/rejects  $w$ .

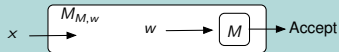
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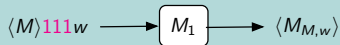
$L_{ne}$  is not recursive.

### Proof of Theorem 9.7.2

- For every TM  $M$  and string  $w$ , there is a TM  $M_{M,w}$  that ignores its input and runs  $M$  on  $w$ :  $M_{M,w}$  erases its input tape, pastes  $w$ , and runs it as/on  $M$ .



- Mind-bending step:** There is a TM  $M_1$  that takes  $\langle M \rangle 111w$  and outputs  $\langle M_{M,w} \rangle$ . Note:  $M_1$  **always** halts (even if  $M$  does not halt when input is  $w$ !)



- $M$  accepts  $w \iff M_{M,w}$  accepts **all** inputs  $\iff \langle M_{M,w} \rangle \in L_{ne}$
- Suppose  $L_{ne}$  is recursive. Then there is a TM  $M_2$  that accepts iff input  $\langle M \rangle \in L_{ne}$ .
- Let TM  $M_3$  read  $\langle M \rangle 111w$  and operate as  $M_1$  and then when  $M_1$  halts, operate as  $M_2$ . Then,  $M_3$  accepts/rejects  $\langle M \rangle 111w$  iff  $M$  accepts/rejects  $w$ .
- $L_u$  is then recursive, which is a contradiction.

# Rice's Theorem

Given: alphabet  $\Sigma$  and let  $RE = \{L \subseteq \Sigma^* \mid L \text{ recursively enumerable}\}$ .

- › Recursively enumerable (RE) languages  $L$  corresponds to TM  $M$  if  $L = L(M)$
- › A **property** of RE languages is subset  $\mathcal{P} \subseteq RE$  of the set of RE languages over  $\Sigma$ .

Why do we call sets of languages a property? Think of examples:

- $\mathcal{P}_1 = \{L \subseteq \Sigma^* : |L| < \infty\}$  (the property is being finite)
- $\mathcal{P}_2 = \{L \subseteq \Sigma^* : \text{there is a DFA } D, \text{ s.t. } L = L(D)\}$  (the property is being regular)

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*Every non-trivial property  $\mathcal{P}$  of RE languages is undecidable, i.e.,  $L_{\mathcal{P}}$  is not recursive.*

- › So Rice's theorem says something about some (many!) subsets  $S \subseteq \{\langle M \rangle : M \text{ is a TM}\}$  (So we want to know something about TMs!)

## Rice's Theorem (Example 1)

How about the “property” that a TM has 10 states? (Should be decidable!)

- › Let  $L_{10} = \{\langle M \rangle : M \text{ has 10 states}\}$ . But we have to be able to write it as:  
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 $\mathcal{P}_{10} = \{L \subseteq \Sigma^* : \text{there is a TM } M, \text{ s.t. } L = L(M) \text{ and } M \text{ has 10 states}\}$ ?
- > This doesn't work since we can take some  $M_9$  with 9 states (and thus  $\langle M_9 \rangle \notin L_{10}$ ) and add a dummy state, so we have 10 in the resulting TM  $M_{10}$ . Now we have:
  - $\langle M_9 \rangle \notin L_{10}$ , and  $\langle M_{10} \rangle \in L_{10}$ , but
  - $L(M_9) = L(M_{10})$ , so  $L(M_9) \in \mathcal{P}_{10}$  and  $L(M_{10}) \in \mathcal{P}_{10}$ .
  - Recall  $L_{\mathcal{P}} = \{\langle M \rangle \mid L(M) \in \mathcal{P}\}$ , so  $\langle M_9 \rangle \in L_{\mathcal{P}_{10}}$ .  $\downarrow$
 → So it doesn't work! It's not a property of languages!  
 (So Rice's theorem doesn't apply.)

## Rice's Theorem (Example 2)

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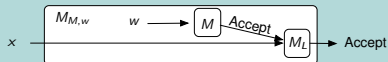
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- > Thus,  $L_{\mathcal{P}_{01}} = \{\langle M \rangle : L(M) \in \mathcal{P}_{01}\}$  is undecidable. In other words: We can't decide whether a given TM accepts a language that contains a 01.

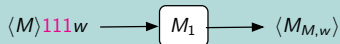
## Rice's Theorem (Proof)

## Proof of Theorem 9.7.3

- › WLOG, we can assume that  $\emptyset \notin \mathcal{P}$ . Else consider  $\mathcal{P}^c$ .
- › Since  $\mathcal{P}$  is non-trivial, there is a language  $L \in \mathcal{P}$  and a TM  $M_L$  that accepts  $L$
- › Let  $M_{M,w}$  be a TM that runs  $M$  on  $w$  and if  $M$  accepts  $w$ , then reads its input and operates as  $M_L$ .



- › **Mind-bending step:** There is a TM  $M_1$  that takes  $\langle M \rangle 111w$  and outputs  $\langle M_{M,w} \rangle$ .  
Note:  $M_1$  **always** halts (even if  $M$  does not halt when input is  $w$ !)



- ›  $M$  accepts  $w \iff L(M_{M,w}) = L \in \mathcal{P}$
- › If  $\mathcal{P}$  were decidable, then there is a TM  $M_2$  such that  $M_2$  accepts  $\langle M \rangle$  iff  $L(M) \in \mathcal{P}$ .
- › Then, we can devise a TM  $M_3$  such that it reads  $\langle M \rangle 111w$  operates first as  $M_1$  and then when  $M_1$  has halted, it operates as  $M_2$ .
- ›  $M_3$  accepts/**rejects**  $\langle M \rangle 111w \iff L(M_{M,w}) \in / \notin \mathcal{P} \iff M$  accepts/**rejects**  $w$ .
- › Then,  $L_u$  is recursive, a contradiction

## PCP: Definition

- › Suppose we are given two ordered lists of strings over  $\Sigma$ , say  $A = (u_1, \dots, u_k)$  and  $B = (v_1, \dots, v_k)$ . We say  $(u_i, v_i)$  to be a **corresponding pair**.
- › PCP Problem: Is there a sequence of integers  $i_1, \dots, i_m$  such that:

$$u_{i_1} \cdots u_{i_m} \\ = v_{i_1} \cdots v_{i_m}?$$

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## A PCP example

A	110	0011	0110
B	110110	00	110

- A solution cannot start with  $i_1 = 3$ .
- A solution can start with  $i_1 = 1$ , but then  $i_2 = 1$ , and  $i_3 = 1 \dots$  Consequently,  $i_1$  cannot equal 1.
- A solution does exist:  $(i_1, i_2, i_3) = (2, 3, 1)$ .
- $(i_1, i_2, i_3, i_4, i_5, i_6) = (2, 3, 1, 2, 3, 1)$  is also a solution.

## Modified PCP (MPCP): Definition

- › Suppose we are again given two ordered lists of strings over  $\Sigma$ , say  $A = (u_1, \dots, u_k)$  and  $B = (v_1, \dots, v_k)$ .
- › MPCP Problem: Is there a sequence of integers  $i_1, \dots, i_m$  such that

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- › The previous example does not have a solution when viewed as an MPCP problem.
- › So MPCP is indeed a different problem to PCP, but...

### Theorem 9.8.1

*MPCP reduces to PCP*

## MPCP: Thoughts/Ideas before constructing a Proof

- › So we want to prove that MPCP reduces to PCP.
- › More specifically we need to:
  - Turn every MPCP problem into a PCP problem (with preserving solutions).
  - I.e., **how can we enforce PCP to always select the first element first?**

Thus, the problem we need to solve is:

- To make sure that that the first string gets selected first, but
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Initial thoughts:

- We add a new start symbol to  $u_1$  and  $v_1$  so that they match.
- But that still doesn't enforce that we start with them! ...



## Outline of Proof of Theorem 9.8.1

- › Given MPCP's lists  $A = (u_1, \dots, u_k)$  and  $B = (v_1, \dots, v_k)$ . We now transform this into a PCP problem! Suppose that symbols  $\diamond, \triangle$  are not in the strings of  $A$  and  $B$ .

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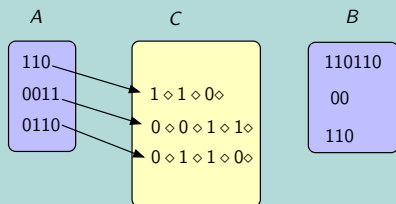
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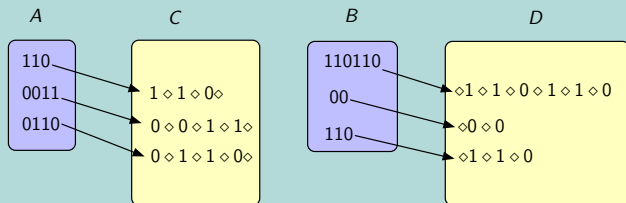
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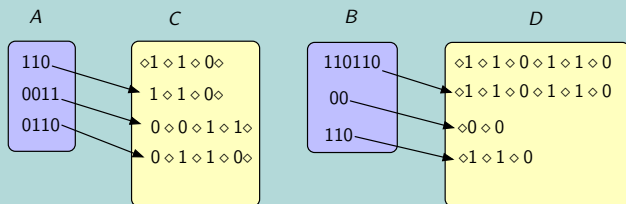
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  - >  $w_0 = \diamond w_1$  and  $x_0 = x_1$ . [Ensures any solution to PCP also starts with  $i_1 = 1$ ]
  - >  $w_{k+1} = \triangle$  and  $x_{k+1} = \diamond \triangle$ . [Balances the extra  $\diamond$ ]



$$u_1 u_{i_1} \dots u_{i_n} = v_1 v_{i_1} \dots v_{i_n} \quad \iff$$

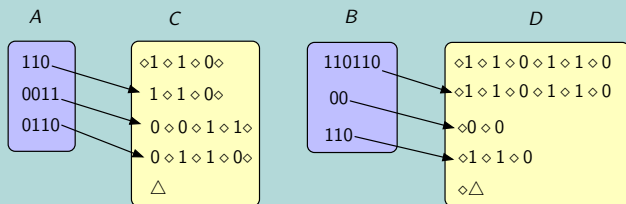
$$\diamond w_1 w_{i_1} \dots w_{i_n} = x_1 x_{i_1} \dots x_{i_n} \diamond \quad \iff$$

$$w_0 w_{i_1} \dots w_{i_n} \triangle = x_0 x_{i_1} \dots x_{i_n} \diamond \triangle$$



## Outline of Proof of Theorem 9.8.1

- > Given MPCP's lists  $A = (u_1, \dots, u_k)$  and  $B = (v_1, \dots, v_k)$ . We now transform this into a PCP problem! Suppose that symbols  $\diamond, \triangle$  are not in the strings of  $A$  and  $B$ .
- > Construct lists  $C = (w_0, \dots, w_{k+1})$  and  $D = (x_0, \dots, x_{k+1})$  for PCP as follows.
  - > For  $i = 1, \dots, k$ ,
    - if  $u_i = s_1 \dots s_\ell$ , then  $w_i = s_1 \diamond s_2 \diamond \dots \diamond s_\ell \diamond$  [ $\diamond$  succeeds symbols]
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$$u_1 u_{i_1} \dots u_{i_n} = v_1 v_{i_1} \dots v_{i_n} \quad \iff$$

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# PCP is undecidable

## Theorem 9.8.2

*PCP is undecidable.*

## Outline of Proof of Theorem 9.8.2 (Overview)

We reduce  $L_U$  to MPCP (and did already MPCP to PCP).

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- >  $M$  accepts  $w \iff$  a solution to the MPCP exists.
- > If MPCP were decidable, then  $L_u$  would be too (i.e., recursive), which it isn't.
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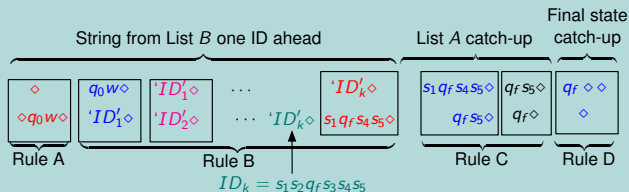
So the hard work is to solve/model  $\langle M \rangle 111w \in L_u$  via MPCP!

## PCP is undecidable

(More detailed proof at the end)

## Outline of Proof of Theorem 9.8.2 (Overview)

Abstract overview of existing pairs in the constructed MPCP:

**The overall idea is as follows:**

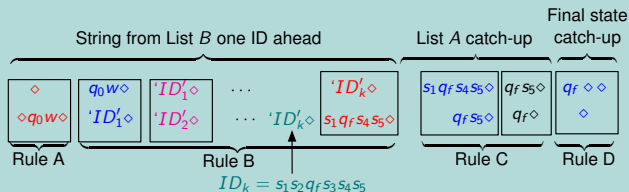
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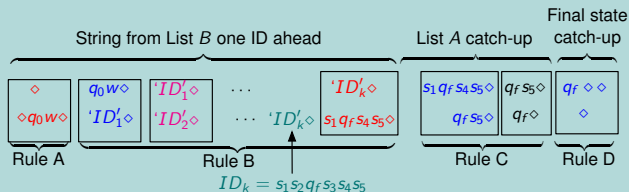


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The rules  $A \dots, D$  are in the appendix.**The overall idea is as follows:**

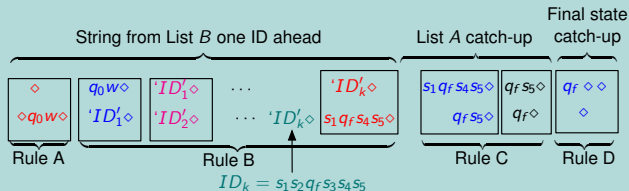
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- > We construct a pair for every valid TM transition! (Rule B)  
In such a pair, the first line/entry is the old configuration and the second the new.

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- > We construct a pair for every valid TM transition! (Rule B)  
In such a pair, the first line/entry is the old configuration and the second the new.
- > We have/need a few more rules to make all strings equal and deal with final states.  
Note how we have to move the first line to get matching strings. (Rules C, D)

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(More detailed proof at the end)

## Proof of Theorem 9.8.2 (Short Example)

Before we look at an example, recap:

- > A TM ID looks as:  $X_1 \dots, X_{i-1}qX_i \dots X_\ell$  where  $X_i$  is below the head.

Now, with TM's start state  $q_0$  and initial tape  $w = s_1s_2s_3$  let:

- > Word in line 1:  $\diamond$
- > Word in line 2:  $\diamond q_0s_1s_2s_3\diamond$

We get this by our first pair, created by Rule A:

- > First entry in 1st list:  $\diamond$
- > First entry in 2nd list:  $\diamond q_0s_1s_2s_3\diamond$

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What's next? Create the transitions! (Via Rules in B)

- > Assume  $\delta(q_0, s_1) = (p, t_1, R)$ , then  $q_0s_1s_2s_3 \vdash_M$
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- > Word in line 1:  $\diamond q_0s_1$
- > Word in line 2:  $\diamond q_0s_1s_2s_3 \diamond t_1p$

We get this by another pair, created by Rule B:

- > Entry in 1st list:  $q_0s_1$
- > Entry in 2nd list:  $t_1p$

since  $\delta(q_0, s_1) = (p, t_1, R)$   
and thus  $q_0s_1s_2s_3 \vdash_M t_1ps_2s_3$

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What's next? The remaining symbols from last configuration are missing...

- > We add a pair  $(s, s)$  for all  $s \in \Gamma$  (Rule I)
- > and one pair  $(\diamond, \diamond)$  (Rule I)

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- > Word in line 2:  $\diamond q_0s_1s_2s_3\diamond t_1ps_2s_3\diamond$

We get this by several new pairs, created by Rule I:

- >  $(s_0, s_0), (s_1, s_1), (s_2, s_2), \dots$  (for all  $s \in \Gamma$ )
- > and the pair  $(\diamond, \diamond)$



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What's next? We continue! Next transition!

- > Assume  $\delta(p, s_2) = (r, t_2, L)$ , then  $t_1ps_2s_3 \vdash_M$
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- > Word in line 2:  $\diamond q_0s_1s_2s_3 \diamond t_1ps_2s_3 \diamond rt_1t_2$

We get this by another pair, created by Rule B:

- > Entry in 1st list:  $t_1ps_2$
- > Entry in 2nd list:  $rt_1t_2$

since  $\delta(p, s_2) = (r, t_2, L)$   
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What's next?

- > First, we again add the missing symbols, until
- > eventually we find a final state. We have more rules for that (see appendix).

> We'll now revisit CFGs and prove that ambiguity in CFGs is undecidable.

### Theorem 9.9.1

*The problem if a CFG is ambiguous is undecidable.*

### Outline of Proof of Theorem 9.8.2

> We'll reduce ... which one? (1) PCP to CFG or (2) CFG to PCP?

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### Theorem 9.9.1

*The problem if a CFG is ambiguous is undecidable.*

### Outline of Proof of Theorem 9.8.2

- > We'll reduce every instance of a PCP problem to a CFG.
- > Given a PCP problem with  $A = (w_1, \dots, w_k)$  and  $B = (x_1, \dots, x_k)$ , pick symbols  $a_1, \dots, a_k$  that don't appear in any string in list  $A$  or  $B$ .
- > Now define a grammar  $G$  with production rules

$$S \rightarrow A \mid B$$

$$A \rightarrow w_1 A a_1 \mid \dots \mid w_k A a_k \mid w_1 a_1 \mid \dots \mid w_k a_k$$

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- › If there are two leftmost derivations of a string in  $L(G)$ , one must use  $S \longrightarrow A$  and  $S \longrightarrow B$ , respectively.
- › Every solution to the PCP leads to 2 leftmost derivations of some string in  $L(G)$  and vice versa. (Note how the solution indices are encoded in the end of each word.)
- › Since PCP is undecidable, the ambiguity of CFGs must be undecidable [Thm 9.6.1]

## Overview of (Some) Undecidable Problems Concerning CFGs

- › Given a CFG  $G$ , is it ambiguous? (We just had that.)



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(As mentioned before, this is used to show that HTN planning is undecidable)

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# Overview of (Some) Undecidable Problems Concerning CFGs

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- › Given CFG  $G$ , is  $L(G) = \Sigma^*$ ?

## PCP is undecidable

## Proof Details of Theorem 9.8.2 (Rule Definitions)

› For the proof we construct an MPCP for each TM  $M$  and input  $w$ .

Rule A: Construct two lists  $A$  and  $B$  whose first entries are  $\diamond$  and  $\diamond q_0 w \diamond$ , respectively.

Rule I: Add corresponding pairs  $(X, X)$  (for all  $X \in \Gamma$ ) and  $(\diamond, \diamond)$

Rule B: Suppose  $q$  is not a final state. Then, append to the list the following entries:

List A	List B	
$qX$	$Yp$	if $\delta(q, X) = (p, Y, R)$
$ZqX$	$pZY$	if $\delta(q, X) = (p, Y, L)$
$q\diamond$	$Yp\diamond$	if $\delta(q, B) = (p, Y, R)$
$Zq\diamond$	$pZY\diamond$	if $\delta(q, B) = (p, Y, L)$

Rule C: For  $q \in F$ , let  $(XqY, q)$ ,  $(Xq, q)$ , and  $(qY, Y)$  be corresponding pairs for  $X, Y \in \Gamma$

Rule D: For  $q \in F$   $(q \diamond \diamond, \diamond)$  is a corresponding pair.



## PCP is undecidable

## Proof Details of Theorem 9.8.2 (Construction/Explanation)

- Suppose there is a solution to the MPCP problem. The solution starts with the first corresponding pair, and the string constructed from List  $B$  is already an ID of TM  $M$  ahead of the string from List  $A$ .
- As we select strings from List  $A$  (corresponding to Rule B) to match the last ID, the string from List  $B$  adds to its string another valid ID.
- The sequence of IDs constructed are valid sequences of IDs for  $M$  starting from  $q_0w$ .
- Suppose the last ID constructed in the string constructed from List  $B$  corresponds to a final state, then we can gobble up one neighboring symbol at a time using Rule C.
- Once we are done gobbling up all tape symbols, the string from List  $B$  is still one final state symbol ahead of List  $A$ 's string.
- We then use Rule D to match and complete.

