## COMP3630 / COMP6363

# week 12: Automated (Classical) Planning 

(A subdiscipline of Artificial Intelligence)
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## Content of this Chapter

- Introduction to Classical Planning
- Complexity Studies


## Disclaimer

Why do we have this week's content?
> I wanted to provide additional examples to strengthen your current understanding rather than including additional content. Compared to $\leq 2022$ you will miss out on:

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- To find PhD students! The ANU has at least 8 planning experts, and we are all internationally connected (in case you want to do research Overseas). But note that ANU's Foundations Cluster has just as much staff with theory-heavy topics!


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> An initial world description (start state)
>A desired world description (end state)
> Actions (how can states be changed?)

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we: Yes
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we: No
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Classical Planning is the simplest form of planning!

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we: Yes
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> Is there time involved?
> Any additional constraints on solution plans?
we: No
we: No
we: No
we: No and Yes
Well... Yes for HTN planning!
Classical Planning is the simplest form of planning! But HTN Planning is more complex.

## Artificial Toy Problems, e.g., Blocksworld



- Standard Planning Benchmark in the International Planning Competition


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- Standard Planning Benchmark in the International Planning Competition
- ... and every planning lecture! (Like this and the one below.)
- Here (https://www.youtube.com/watch?v=pfNbOIAkbcQ\&t=308s) you find a 90 minute hands-on lecture by me on modeling Blocksword using planning. (I.e., you will actually model it during the lecture and use an online planner to solve it.)


## Games, e.g., Solitaire



Source: https://commons.wikimedia.org/wiki/File:GNOME_Aisleriot_Solitaire.png
License: GNU General Public License v2 or later https://www.gnu.org/licenses/gpl.html
Copyright: Authors of Gnome Aisleriot https://gitlab.gnome.org/GNOME/aisleriot/blob/master/AUTHORS

Games, e.g., Rush Hour (or: from practice to games to AI models)


Photo made out of Hanna Neumann (between HN, Birch, CSIT, December 2020).

Games, e.g., Rush Hour (or: from practice to games to AI models)

- Start: any configuration of cars with an exit on one specific side.
- Goal: Get the red car out.


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Modeling this, including the automated video creation was (is) a 6 pt. project in S1 2023.

## Automated Factories (here: Greenhouse)

- Factory takes imagines of all plants, and decides on their further treatments.
- Factory controls their movements via the conveyor belts.


Source: https://www.lemnatec.com/
Copyright: With kind permission from LemnaTec GmbH
Further reading: - Malte Helmert and Hauke Lasinger. "The Scanalyzer Domain: Greenhouse Logistics as a Planning Problem". In: Proceedings of the 20th International Conference on Automated Planning and Scheduling (ICAPS 2010). AAAI Press, 2010, pp. 234-237

- The IPC Scanalizer Domain in PDDL (see paper above).


## Robotics (here: Mars Rovers Spirit and Opportunity)



Source:
left https://commons.wikimedia.org/wiki/File:KSC-03PD-0786.jpg
middle https://commons.wikimedia.org/wiki/File:
Curiosity_Self-Portrait_at_\%27Big_Sky\%27_Drilling_Site.jpg
right https://commons.wikimedia.org/wiki/File:NASA_Mars_Rover.jpg
Copyright: public domain
Further reading: - Pascal Bercher and Daniel Höller. "Interview with David E. Smith". In: Künstliche Intelligenz 30.1 (2016). Special Issue on Companion Technologies, pp. 101-105. DOI: 10.1007/s13218-015-0403-y

- https://www.nasa.gov/ and papers about MAPGEN (for references, see also article above).


## Informal Problem Introduction

We consider classical planning problems, which consist of:

- An initial state $s_{l}$ - all "world properties" true in the beginning.
- A set of available actions - how world states can be changed.
- A goal description $g$ - all properties we'd like to hold.

What do we want?

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What do we want?
$\rightarrow$ Find a plan that transforms $s_{l}$ into $g$.


## Problem Definition

A classical (or STRIPS) planning problem $\left\langle V, A, s_{l}, g\right\rangle$ consists of:

- $V$ is a finite set of state variables (also called: facts or propositions).
- States are collections of state variables.
- We assume the closed world assumption, i.e., all variables not mentioned in a state $s$ do not hold in that state (in contrast to: it's not known whether they hold or not).
- $S=2^{V}$ is called the state space.


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A. What a solution is!


## Problem Definition, cont'd (Solutions)

Action application:

- An action $a \in A$ is called applicable (or executable) in a state $s \in S$ if and only if $\operatorname{pre}(a) \subseteq s$. Often, this is given by a function: $\tau(a, s) \Leftrightarrow p r e(a) \subseteq s$.


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- If $\tau(a, s)$ holds, its application results into the successor state $\gamma(a, s)=(s \backslash \operatorname{del}(a)) \cup \operatorname{add}(a) . \gamma: A \times S \rightarrow S$ is called the state transition function.


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PLANEX $=\left\{\langle\mathcal{P}\rangle: \mathcal{P}\right.$ is a classical planning problem $\left\langle V, A, s_{l}, g\right\rangle$ that has a solution. $\}$.

## Example Problem

Let $s_{l}=\left\{\right.$ At $\left._{\text {LivingRoom,R }}, A t_{\text {Garage,Remote }}, A t_{\text {LivingRoom,Box }}, \mathrm{TV}_{\text {Off }}\right\}$
Rick's actions:

- PushBox : ( $\left\{\right.$ At $\left._{\text {LivingRoom, Box }}, A t_{\text {LivingRoom }, R}\right\},\{$ At LivingRoom,$\left.M\}, \emptyset\right)$


## Meeseeks's actions:

$$
g=\left\{T V_{O n}\right\}
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- GoToGarage ${ }_{R}:\left(\left\{\mathrm{At}_{\text {LivingRoom }, \mathrm{R}}\right\},\left\{\mathrm{At}_{\left.\left.\text {Garage }, R^{R}\right\},\left\{\mathrm{At}_{\text {LivingRoom }, R}\right\}\right)}\right.\right.$ )


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- GoToLivingRoom ${ }_{R}:\left(\left\{\mathrm{At}_{\left.\left.\text {Garage }, R^{R}\right\},\left\{\mathrm{At}_{\text {LivingRoom }, R}\right\},\left\{\mathrm{At}_{\text {Garage }, \mathrm{R}}\right\}\right)}\right.\right.$ )

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- GoToLivingRoom ${ }_{R}$ : $\left(\left\{\right.\right.$ At $\left._{\text {Garage }, R}\right\},\left\{\right.$ At $\left._{\text {LivingRoom }, R}\right\},\left\{\right.$ At $\left.\left._{\text {Garage }, R}\right\}\right)$


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- GoToLivingRoom ${ }_{R}$ : $\left(\left\{\right.\right.$ At $\left._{\text {Garage }, R}\right\},\left\{\right.$ At $\left._{\text {LivingRoom }, R}\right\},\left\{\right.$ At $\left.\left._{\text {Garage }, R}\right\}\right)$

- TurnTVOn ${ }_{R}:\left(\left\{\right.\right.$ Has $_{\text {Remote }, R}$, At $_{\text {LivingRoom }, R}$, TV $\left._{\text {Off }}\right\},\left\{\right.$ TV $\left._{\text {On }}\right\},\left\{\right.$ TV $\left.\left._{\text {Off }}\right\}\right)$

Meeseeks's actions:

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- GoToLivingRoom $M$ : $\left(\left\{\right.\right.$ At $\left._{\text {Garage }, M}\right\},\left\{\right.$ At $\left._{\text {LivingRoom }, M}\right\},\left\{\right.$ At $\left.\left._{\text {Garage }, M}\right\}\right)$
- PickUpRemote ${ }_{M}:\left(\left\{\operatorname{At}_{\text {Garage, }^{M},}\right.\right.$, At $\left._{\text {Garage,Remote }}\right\},\left\{\right.$ Has $\left._{\text {Remote }, M}\right\},\left\{\right.$ At $\left.\left._{\text {Garage, Remote }}\right\}\right)$
- GiveRemote ${ }_{M}$ : $\left(\left\{\operatorname{Has}_{\text {Remote, }, ~}\right.\right.$, At $_{\text {LivingRoom, }, ~}$, At $\left._{\text {LivingRoom, } R}\right\},\left\{\right.$ Has $\left._{\text {Remote }, R}\right\}$, $\left\{\right.$ Has $_{\text {Remote, }, ~}$, At LivingRoom, $\left.^{\text {M }}\right\}$ )
$g=\left\{T V_{O_{n}}\right\}$


## Example Problem, Solutions



Solution 1 (Rick does it himself):
(1) GoToGarage ${ }_{R}: s_{1}=\left\{\right.$ At $_{\text {LivingRoom, Box }}$, At $_{\text {Garage,R }^{R}}$, At $\left._{\text {Garage, Remote },}, \mathrm{TV}_{\text {Off }}\right\}$
(2) PickUpRemote ${ }_{R}: s_{2}=\left\{\right.$ At $_{\text {LivingRoom, Box }}, A t_{\text {Garage,R }}$, Has $_{\text {Remote, }, R}$, TV $\left._{\text {Off }}\right\}$
(3) GoToLivingRoom ${ }_{R}: s_{3}=\left\{\right.$ At $_{\text {LivingRoom,Box }}$, At $_{\text {LivingRoom,R }}$, Has Remote, $^{\text {R }}$, TV $\left._{\text {Off }}\right\}$
(4) TurnTVOn ${ }_{R}: s_{4}=\left\{\right.$ At $_{\text {LivingRoom, }}$ Box,$~ A t_{\text {LivingRoom, } R}$, Has $\left._{\text {Remote }, R}, \mathrm{TV}_{\text {On }}\right\}$

Recap: $g=\left\{\mathrm{TV}_{\mathrm{On}_{\mathrm{n}}}\right\}$.

## Example Problem, Solutions



Solution 1 (Rick does it himself):
(1) GoToGarage ${ }_{R}: s_{1}=\left\{\right.$ At $_{\text {LivingRoom, Box }}$, At $_{\text {Garage }, R^{R}}$, At $\left._{\text {Garage, Remote },} \mathrm{TV}_{\text {Off }}\right\}$
(2) PickUpRemote ${ }_{R}: s_{2}=\left\{\right.$ At $_{\text {LivingRoom, Box }}, A t_{\text {Garage,R }}$, Has $_{\text {Remote, }, R}$, TV $\left._{\text {Off }}\right\}$
(3) GoToLivingRoom ${ }_{R}: s_{3}=\left\{\right.$ At $_{\text {LivingRoom,Box }}$, At $_{\text {LivingRoom,R }}$, Has Remote,,$~$, TV $\left.V_{\text {Off }}\right\}$
(4) TurnTVOn ${ }_{R}: s_{4}=\left\{\right.$ At $_{\text {LivingRoom, }}$ Box,$~ A t_{\text {LivingRoom, } R}$, Has $\left._{\text {Remote }, R}, \mathrm{TV}_{\text {On }}\right\}$

Solution 2 (Rick uses a Meeseeks):
(1) PushBox ${ }_{R}: s_{1}=\left\{\right.$ At $_{\text {LivingRoom, Box }}$, At $_{\text {LivingRoom }, R}$, At $\left._{\left.\text {Garage, Remote }, A t_{\text {LivingRoom }, \mathrm{M}}, \text { TV }_{\text {Off }}\right\}}\right\}$

(3) PickUpRemote ${ }_{M}: s_{3}=\left\{\right.$ At $_{\text {LivingRoom,Box }}$, At $_{\text {LivingRoom, }, ~}$, At $\left._{\left.\text {Garage, }^{M}, \text { Has }_{\text {Remote, }}, \mathrm{TV}_{\text {Off }}\right\}}\right\}$

(5) GiveRemote ${ }_{M}: s_{5}=\left\{\right.$ At $_{\text {LivingRoom,Box }}$, At $_{\text {LivingRoom, }, ~}$, Has $\left._{\text {Remote, }, ~}, T V_{\text {Off }}\right\}$
(6) TurnTVOn ${ }_{R}: s_{6}=\left\{\right.$ At $_{\text {LivingRoom, Box }}$, At $_{\text {LivingRoom, } R}$, Has $\left._{\text {Remote }, \mathrm{R}}, \mathrm{TV}_{\text {On }}\right\}$

Recap: $g=\left\{T V_{\text {On }}\right\}$.

## Classical Planning is in PSPACE

- Let $\mathcal{P}=\left\langle V, A, s_{l}, g\right\rangle$ be our plannig problem.
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- Guess and verify would however be too expensive...
- We want to use recursive doubling! Let $P\left(s_{1}, s_{2}, k\right)$ represent whether there exists a plan from state $s_{1}$ to state $s_{2}$ with size $\leq k$.
- We don't have a goal state, but a goal description, so we can't use $P\left(s_{l}, g, 2^{|V|}\right)$, since $g$ is just one of potentially exponentially many states.


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- put a new variable $v_{1} \notin V$ into $V$, now $V^{\prime}$, and into all action preconditions,
- create new action ( $g,\left\{v_{2}\right\}, V$ ), where $v_{2} \notin V$ is new.
- Now $g^{\prime}=\left\{v_{2}\right\}$ is our unique goal and $\mathcal{P}$ has a solution iff $\mathcal{P}^{\prime}$ has one.
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- We could also have iterated over all states $s$ with $s \supseteq g$.
- Now we can decide $P\left(s_{l}, g^{\prime}, 2^{|V|}\right)$ in the usual way, i.e., $P\left(s_{1}, s_{2}, k\right)$ iff there exists an $s$, such that $P\left(s_{1}, s, k / 2\right)$ and $P\left(s, s_{2}, k / 2\right)$.
- Each state is only polynomially large, and we only need to do this split $\log \left(2^{|V|}\right)$ often. So we only need poly space to do this search.
- Thus, PLANEX $\in$ PSPACE.


## Classical Planning is PSPACE-hard

We reduce from a poly-space-bounded Turing Machine.

- We define $s_{l}=\left\{a t_{1, q_{0}}, i n_{0, B}, i n_{1, w_{1}}, \ldots i n_{|w|, w_{|w|}}, i n_{|w|+1, B}, \ldots, i n_{p o l(|w|)-1, B}\right\}$ with
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(1) $\left(\left\{a t_{i, q}, i n_{i, x}\right\},\left\{d o_{i, q, x}\right\},\left\{a t_{i, q}\right\}\right)$
(2) $\left(\left\{d o_{i, q, x}, i i_{i, x}\right\},\left\{i i_{i, y}\right\},\left\{i i_{i, x}\right\}\right)$
(3) $\left(\left\{d o_{i, q, x}, i i_{i, y}\right\},\left\{a t_{i+1, q^{\prime}}\right\},\left\{d o_{i, q, x}\right\}\right)$
(4) don't provide actions for $a t_{-1, q}$ and $a t_{p o l(|w|), q}$ (for any q)
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- Whenever the TM is in an accepting state, the problem is solved:
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(Proof(s) by Bylander, 1994)
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A. So that we can say: Planning is even PSPACE-hard if we have only 2 preconditions and 2 effects! Think of 2-SAT vs. 3-SAT! (Here, the 2 precs/effs correspond to the 3!)

## Optimal (or: Cost-Bounded) Classical Planning is PSPACE-complete

$\operatorname{PLANEX}_{k}=\{\langle\mathcal{P}, k\rangle: \mathcal{P}$ is a planning problem with a solution $\bar{a},|\bar{a}| \leq k$. Note that the $k$ in the index here is a String, i.e., literally the letter $k$, not a number. So the $k$ in the set is (clearly) different, since one is a number, the other a letter.

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- We already have a decision procedure for bound $2^{|V|}$, which runs in PSPACE.
- We now show PSPACE-hardness:
- We again exploit that if there exists a plan at all, there is one up to length $2^{|V|}$.
- We thus reduce from PLANEX: We take an arbitrary problem $\mathcal{P} \in$ PLANEX and create a cost-bounded one by choosing $k=2^{|V|}$, where $V$ are the variables of $\mathcal{P}$. Note that this construction is polytime because we can encode $k$ using only $\log (k)$ bits.


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- Think of SAT - which is NP-complete. How about 2-CNF-SAT?


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- Clearly not! What about the problem $(\emptyset, \emptyset, \emptyset, \emptyset)$ ?
- Think of SAT - which is NP-complete. How about 2-CNF-SAT?
- So, which factor(s) make planning hard? And what if they were not there?
- If we identify such a special case in a given instance we could use a more efficient algorithm than one designed for the general case.
- If we can establish a special case we can solve the easier case and use its solution as approximation to the solution of the actual problem. (E.g., as heuristic in a search.)


## Delete-free (or Delete-relaxed) Problems, Definition

Reminder: Classical planning problems have the form $\left(V, A, s_{l}, g\right)$.

- A problem $\left(V, A, s_{l}, g\right)$ is called delete-free if the following holds: for all $($ pre, add, del $) \in A$ holds: $d e l=\emptyset$


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- Given a problem $\mathcal{P}=\left(V, A, s_{l}, g\right)$, we call $\mathcal{P}^{\prime}=\left(V^{\prime}, A^{\prime}, s_{l}^{\prime}, g^{\prime}\right)$ delete-relaxed version of $\mathcal{P}$ if $V=V^{\prime}, s_{I}^{\prime}=s_{i}, g^{\prime}=g$, and $A^{\prime}=\{($ pre, add,$\emptyset):($ pre, add, del $) \in A\}$.


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- PLANEX $_{D R}=\{\langle\mathcal{P}\rangle: \mathcal{P}$ is a solvable classical delete-free planning problem. $\}$

Now, what's true?

- PLANEX ${ }_{D R}$ is PSPACE-complete (?)
- PLANEX $_{D R}$ is NP-complete (?)
- PLANEX $_{D R}$ is in NP, not NP-hard, and not in $\mathbf{P}$ (?)
- PLANEX $_{D R}$ is in $\mathbf{P}$ (?)


## Delete-free Planning is in $\mathbf{P}$

Observations:

- Applying an action twice is pointless, so we can delete each applied action.


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Algorithm 1: Decision-procedure for delete-free planning.
Data: Set A of delete-free actions, initial state s/, goal description g
Result: Whether the delete-free problem is solvable
s}\leftarrow\mp@subsup{s}{l}{\prime}
repeat
    foreach action a\inA do
        if pre(a)\subseteqs}\mathrm{ then
                        s=s\cupadd(a);
            delete a from A;
until }A\mathrm{ is not modified;
return s}\supseteqg
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                        \(s=s \cup \operatorname{add}(a) ;\)
                delete a from \(A\);
until \(A\) is not modified;
return \(s \supseteq g\);
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Observations:

- Applying an action twice is pointless, so we can delete each applied action.
- Each iteration costs at most $\mathcal{O}(|A|)$ and we can delete at most $|A|$ times.
- Thus, runtime is in $\mathcal{O}\left(|A|^{2}\right)$, so PLANEX $_{D R} \in \mathbf{P}$.


## Cost-bound Delete-Free Planning is in NP

$\operatorname{PLANEX}_{k-D R}=\{\langle\mathcal{P}, k\rangle: \mathcal{P}$ is a delete-free planning problem with a solution $\bar{a},|\bar{a}| \leq k$. As before: The $k$ in the index is a String, not a number. (To name this problem class.)

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We can show PLANEX $_{k-D R} \in$ NP

- Let $\mathcal{P}$ (delete-free problem) and number $k$ be given.
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- Guess up to $k$ actions and an order among them.
- Return true if sequence is executable and makes goal true. Right?
- No! That's a NEXPTIME-procedure! $k$ is encoded binarily... Instead, we limit the number of actions that we guess.
- No action has to be executed twice! So we only guess up to $|A|$ (distinct) actions.
- Thus, we perform the above procedure for the number $\min (k,|A|)$.
- This results in an NP membership procedure/proof.


## Cost-bound Delete-Free Planning is NP-hard

We show that PLANEX ${ }_{k-D R}$ is NP-hard.

- We reduce from CNF-SAT.
- Let $\varphi=\underbrace{\left\{C_{1}, \ldots, C_{n}\right\}}_{\text {clauses }}, C_{j}=\underbrace{\left\{\varphi_{j_{1}}, \ldots, \varphi_{j_{k}}\right\}}_{\text {literals }}$, and $V=\underbrace{\left\{x_{1}, \ldots, x_{m}\right\}}_{\text {variables }}$.


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- For each boolean variable $x_{i} \in V$ add two actions to $A$ :

$$
x_{i} \mapsto \top \quad \begin{aligned}
& x_{i}-T \\
& x_{i}-\text { set } \\
& \hline
\end{aligned}
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- Let $\varphi=\underbrace{\left\{C_{1}, \ldots, C_{n}\right\}}_{\text {clauses }}, C_{j}=\underbrace{\left\{\varphi_{j_{1}}, \ldots, \varphi_{j_{k}}\right\}}_{\text {literals }}$, and $V=\underbrace{\left\{x_{1}, \ldots, x_{m}\right\}}_{\text {variables }}$.
- For each boolean variable $x_{i} \in V$ add two actions to $A$ :


$$
\begin{array}{|l|l}
\hline x_{i} \mapsto \perp & \begin{array}{l}
x_{i}-\perp \\
x_{i}-\text { set } \\
\hline
\end{array} \\
\hline
\end{array}
$$

- For each positive $\varphi_{j_{i}}=x_{j_{i}}$ or negative $\varphi_{j_{i}}=\neg x_{j_{i}}$ add

$$
\begin{gathered}
x_{j_{i}}-T \\
" x_{j_{i}}=T " \\
C_{j} \mapsto T
\end{gathered} c_{j}-T \text { or } \begin{aligned}
& x_{j_{i}}-\perp \\
& " x_{j_{i}}=\perp " \\
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\end{aligned} c_{j-T}
$$

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\hline
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\hline
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" x_{j_{i}}=T " \\
C_{j} \mapsto T
\end{array} c_{j}-T \text { or } \xrightarrow[x_{j}-\perp]{" x_{j_{i}}=\perp "} \begin{gathered}
c_{j}-T \\
C_{j} \mapsto T
\end{gathered}
$$

- $g=\left\{x_{i}-\right.$ set $\left.\mid 1 \leq i \leq m\right\} \cup\left\{C_{j}-\top \mid 1 \leq j \leq n\right\}$
- $\varphi$ is satisfiable if and only if a plan of size $n+m$ exists.


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You are not done yet! Don't forget to show this is a reduction!

