

COMP3630 / COMP6363

*week 12:* **Examples from Hierarchical Planning**

All taken from literature

*slides created by:* Pascal Bercher

*convenor & lecturer:* Pascal Bercher

**The Australian National University**

Semester 1, 2025

# Content of this Chapter

## Hierarchical Task Network (HTN) Planning:

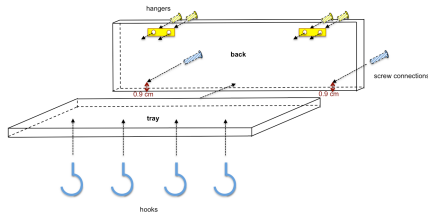
- Examples
- Formal Problem Definition(s)
- Expressivity Analysis
- Complexity Analysis

# Examples

# Terminology and some Background

- › HTN Planning is short for Hierarchical Task Network Planning.
- › It's an extension of classical planning where:
  - We don't plan for some goal but want to refine some initial tasks.
  - We also can't insert actions in every state, but need to adhere certain rules.
- › Historical remarks:
  - Whereas first versions date back to the 70s, the first decent formalization comes from the early 90s.
  - Some central idea was to introduce expert knowledge: What do we need to do to achieve a certain task? (Like a production rule!)
- › Why defining/solving a hierarchical problem?
  - As above: In many real-world applications, knowledge is given in form of control rules: we know the steps required to perform some task.
  - More control on the generated plans, since all the "rules" need to be obeyed. We can exclude (more) undesired plans! (Exactly how formal grammars do!)
  - Plans can be presented more abstract by relying on task hierarchies.
  - We can solve/express more complex problems! (Spoiler)

## Example: Do-It-Yourself (DIY) Assistant, The Task





The material:

- Boards (need to be cut first)
- Electrical devices like drills and saws
- Attachments like drill bits and materials like nails

Further reading: Pascal Bercher et al. "Do It Yourself, but Not Alone: Companion-Technology for Home Improvement – Bringing a Planning-Based Interactive DIY Assistant to Life." *Künstliche Intelligenz – Special Issue on NLP and Semantics*, 35: 367–375. 2021.

# Example: Do-It-Yourself (DIY) Assistant, User Interface

**BOSCH**

Logout

0

Overview

1

**Cut board into two pieces (rear panel and shelf)**

2

Connect rear panel and shelf

3

Screw hooks into the rear panel

4

Screw hooks into the shelf



**1.3**

**INSERT SAW  
BLADE**

If necessary, remove the cover hood of the **PS T18 Li**. Put on gloves (risk of injury). Push the **saw blade holder** upwards in the direction of the arrow. Slide the **wood saw blade** with the teeth in the cutting direction

▶ VIDEO

👁 OVERVIEW

↑ LESS INFORMATION

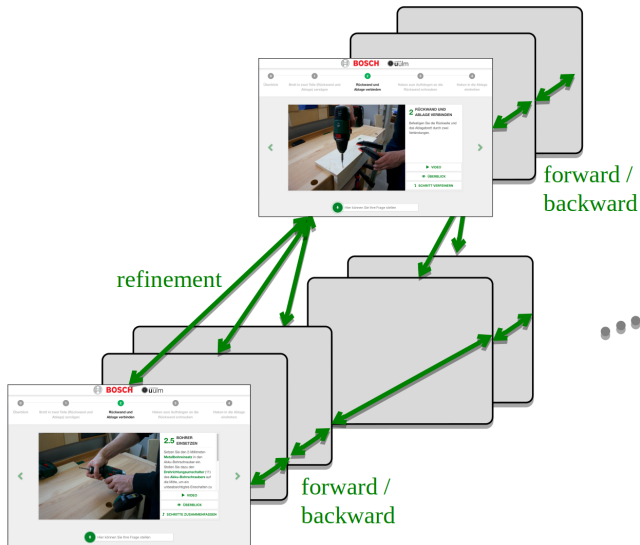
🔄 INSTRUCTIONS WITH MANUAL SAW

 Insert your request here.

# Example: Do-It-Yourself (DIY) Assistant, Task Hierarchy

Abstract Level

Detailed Level

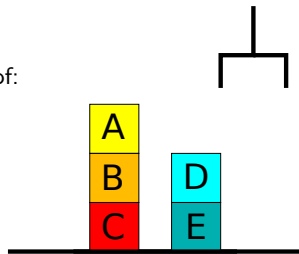


# Recap: Blocksworld via Classical Planning

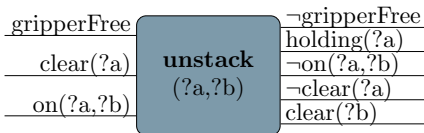
We consider classical planning problems, which consist of:

- › All existing state variables  $V$ .
- › An initial state  $s_I \in 2^V$ .
- › A set of available actions  $A$ .
- › A goal description  $g \subseteq V$ .

→ Find an action sequence (i.e., a plan) that transforms  $s_I$  into a state  $s \supseteq g$ .



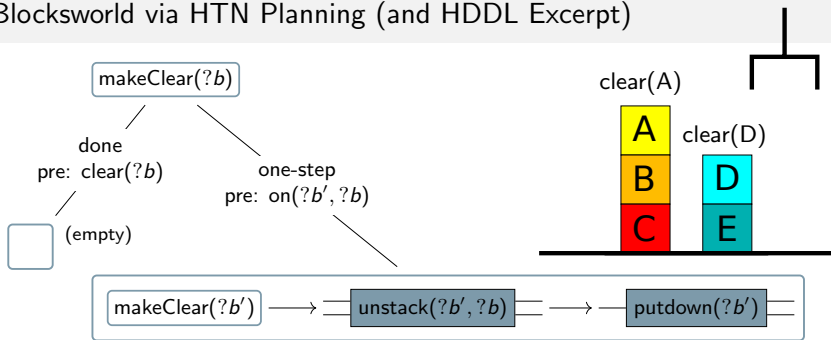
For example, one of the available actions is:



- › For an action to be executable, all preconditions must hold.
- › Actions change states by adding or deleting their effects.



# Blocksworld via HTN Planning (and HDDL Excerpt)



```
(:task makeClear :parameters (?b - block))
```

```
(:method one-step
:parameters (?b1 ?b2 - block)
:task (makeClear ?b1)
:precondition (and (on ?b2 ?b1))
:ordered-tasks (and (makeClear ?b2)
                    (unstack ?b2 ?b1)
                    (putdown ?b2)))
```

```
makeClear(A)
unstack(A,B)
putdown(A)
makeClear(B)
unstack(B,C)
putdown(B)
makeClear(C)
```

# Formalism

# Introduction to HTN Planning

primitive  
tasks



compound  
tasks

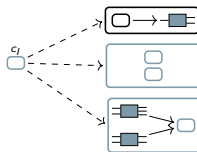


$$\mathcal{P} = (V, P, \delta, C, M, s_I, c_I, g)$$

- >  $V$  a set of facts
- >  $P$  a set of primitive task names
- >  $\delta : P \rightarrow (2^V)^3$  the task name mapping
- >  $C$  a set of compound task names

# Introduction to HTN Planning

$$\mathcal{P} = (V, P, \delta, C, M, s_I, c_I, g)$$



- >  $V$  a set of facts
- >  $P$  a set of primitive task names
- >  $\delta : P \rightarrow (2^V)^3$  the task name mapping
- >  $C$  a set of compound task names
- >  $c_I \in C$  the initial task
- >  $M \subseteq C \times 2^{TN}$  the methods

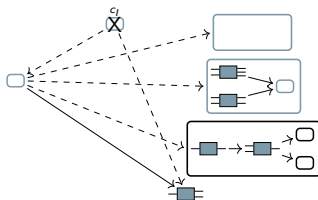
We must find a task network  $tn$ , such that:

- > it is a refinement of  $c_I$ ,
- > only contains primitive tasks, and

# Introduction to HTN Planning

$$\mathcal{P} = (V, P, \delta, C, M, s_I, c_I, g)$$

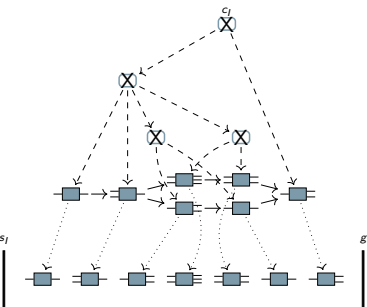
- >  $V$  a set of facts
- >  $P$  a set of primitive task names
- >  $\delta : P \rightarrow (2^V)^3$  the task name mapping
- >  $C$  a set of compound task names
- >  $c_I \in C$  the initial task
- >  $M \subseteq C \times 2^{TN}$  the methods



We must find a task network  $tn$ , such that:

- > it is a refinement of  $c_I$ ,
- > only contains primitive tasks, and

# Introduction to HTN Planning



$$\mathcal{P} = (V, P, \delta, C, M, s_I, c_I, g)$$

- >  $V$  a set of facts
- >  $P$  a set of primitive task names
- >  $\delta : P \rightarrow (2^V)^3$  the task name mapping
- >  $C$  a set of compound task names
- >  $c_I \in C$  the initial task
- >  $M \subseteq C \times 2^{TN}$  the methods
- >  $s_I \in 2^V$  the initial state
- >  $g \subseteq V$  the (optional) goal description

We must find a task network  $tn$ , such that:

- > it is a refinement of  $c_I$ ,
- > only contains primitive tasks, and
- > has an executable linearization that makes the goals in  $g$  true.

# Decomposition, formally

- Task networks are tuples  $(T, \prec, \alpha)$  consisting of a set of task IDs (labels)  $T$  and a strict partial order  $\prec \subseteq T \times T$ . I.e.,  $\prec$  is irreflexive and transitive (and hence asymmetric).  $\alpha : T \rightarrow P \cup C$  maps task IDs to the actual tasks (i.e., their names).
- A decomposition method  $m \in M$  is a tuple  $m = (c, tn_m)$  with a compound task  $c$  and task network  $tn_m = (T_m, \prec_m, \alpha_m)$ .
- Let  $tn = (T, \prec, \alpha)$  be a task network,  $t \in T$  a task identifier, and  $\alpha(t) = c$  is a compound task to be decomposed by  $m = (c, tn_m)$ . We assume  $T \cap T_m = \emptyset$ . Then, the application of  $m$  to  $tn$  results into the task network  $tn' = ((T \setminus \{t\}) \cup T_m, \prec \cup \prec_m \cup \prec_X, \alpha \cup \alpha_m)|_{(T \setminus \{t\}) \cup T_m}$  with:

$$\begin{aligned} \prec_X := & \{(t', t'') \mid (t', t) \in \prec, t'' \in T_m\} \cup \\ & \{(t'', t') \mid (t, t') \in \prec, t'' \in T_m\} \end{aligned}$$

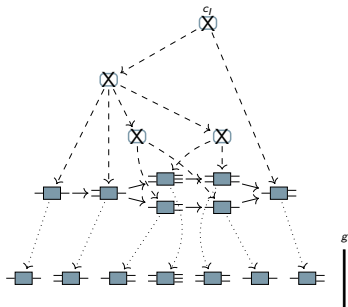
where  $(X_1, \dots, X_n)|_Y$  restricts the sets  $X_i$  to elements in  $Y$

- Note that this definition becomes trivial if all methods are totally ordered. It then perfectly coincides with the definition of using a production rule.

# HTN Planning: Solution Criteria in more Detail

An action sequence  $\bar{p} \in P^*$  is a solution if and only if:

- › There is a sequence of decomposition methods  $\bar{m}$  that transforms  $c_l$  into some  $tn$ ,
- ›  $tn$  contains only primitive tasks (those in  $\bar{p}$ ), and
- ›  $tn$  admits  $\bar{p}$  as linearization, is executable, leads to a goal state  $s \supseteq g$ .



An action sequence is called executable if every action is executable in its state:

- › Let  $s \in 2^V$  be a state,  $p \in P$ , and  $\delta(p)$  an action with  $\delta(p) = (pre, add, del)$  and  $pre, add, del \subseteq V$ .
- › Then,  $p$  is executable in  $s$  iff  $pre \subseteq s$ .
- › Then,  $p$  executed in  $s$  leads to new state  $s' = (s \setminus del) \cup add$ .



# Alternative Definition of HTN Planning

› Actions were defined by their name:  $\delta : P \rightarrow 2^V \times 2^V \times 2^V$ .

Thus, solutions are (the same as) sequences of task names.

› Thus, any solution set  $sol(\mathcal{P})$  is a language. Let:

- $L_H(\mathcal{P}) = \{\bar{p} \mid \bar{p} \in sol(\mathcal{P}'), \text{ where } \mathcal{P}' \text{ ignores all facts} \}$
- $L_C(\mathcal{P}) = \{\bar{p} \mid \bar{p} \in sol(\mathcal{P}'), \text{ where } \mathcal{P}' \text{ is the induced classical problem} \}$

› This means:

- $L_H$  just looks at the words produced by the hierarchy, (ignores executability)
- $L_C$  just looks at the executable words that produce the goal. (ignores hierarchy)

→ Thus:  $sol(\mathcal{P}) = L_H(\mathcal{P}) \cap L_C(\mathcal{P})$ .

This observation gives a new/simplified view on HTN planning:

**HTN planning = classical planning + grammar to filter solutions**

**!! Maybe the most important interpretation of knowledge about HTN Planning !!**

# Expressivity Analysis

# Recap: Chomsky Hierarchy, extended

We can define the following Language classes:

- ›  $All = \{L \mid L \text{ is a language}\}$
- ›  $CSL = \{L \mid L \text{ is a context-sensitive language}\}$
- ›  $CF = \{L \mid L \text{ is a context-free language}\}$
- ›  $Reg = \{L \mid L \text{ is a regular language}\}$
- ›  $CLASSIC = \{L(\mathcal{P}) \mid \mathcal{P} \text{ is a (propositional) classical planning problem.}\}$

We know that  $CLASSIC \subsetneq Reg \subsetneq CF \subsetneq CSL \subsetneq All$ .

Now, we also have:

- ›  $HTN = \{L(\mathcal{P}) \mid \mathcal{P} \text{ is an HTN planning problem.}\}$
- ›  $TOHTN = \{L(\mathcal{P}) \mid \mathcal{P} \text{ is a total-order HTN planning problem.}\}$

# Expressivity of HTN Problems

Theorem w12.1 (Höller et al. (2014), Thm. 6)

$$\mathcal{TOHTN} = \mathcal{CF}$$

Proof.

We first show  $\mathcal{TOHTN} \supseteq \mathcal{CF}$ .

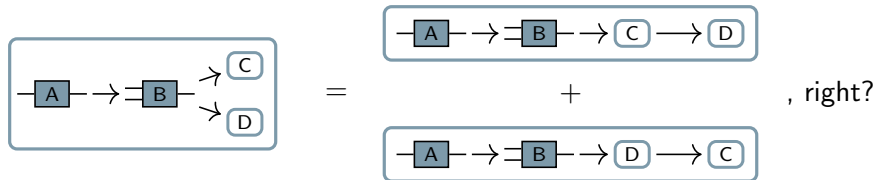
- › Let  $G$  be a CF grammar. Use rules as methods, compound task names as terminal symbols, and primitive task names as terminal symbols.
- › For each terminal symbol define a no-operation. Set  $g = \emptyset$ .
- › With this, every CF grammar is a TO HTN problem!

Now we show  $\mathcal{TOHTN} \subseteq \mathcal{CF}$ .

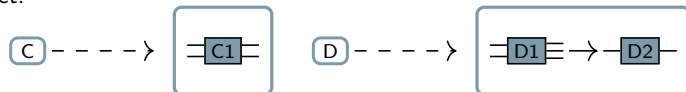
- › We know that  $L(\mathcal{P}) = L_H(\mathcal{P}) \cap L_C(\mathcal{P})$  for all HTN problems  $\mathcal{P}$ .
- › We know that:
  - $L_H(\mathcal{P})$  is context-free (we established that above)
  - $L_C(\mathcal{P})$  is regular (we established that last week).
- › It is known that the intersection of a context-free and regular language is context-free. (We didn't prove that yet, but the idea is a product automaton of PDA and DFA.)  $\square$

# On the (Non?)equivalence of PO and TO HTN models

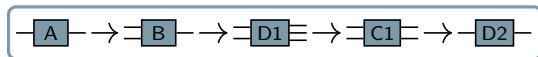
Each partially ordered task network is just a compact representation of its linearizations:



Let:



Can we create the following task network?



**No!** Not anymore...

# More Expressivity Results

Recall: (the last two are new)

- ›  $\mathcal{HTN} = \{L(\mathcal{P}) \mid \mathcal{P} \text{ is an HTN planning problem.}\}$
- ›  $\mathcal{TOHTN} = \{L(\mathcal{P}) \mid \mathcal{P} \text{ is a total-order HTN planning problem.}\}$
- ›  $\mathcal{ACYC-HTN} = \{L(\mathcal{P}) \mid \mathcal{P} \text{ is an acyclic HTN planning problem.}\}$
- ›  $\mathcal{NOOP-HTN} = \{L_H(\mathcal{P}) \mid \mathcal{P} \text{ is an HTN planning problem.}\}$

An excerpt of other expressivity results (not shown here):

- ›  $\mathcal{ACYC-HTN} \subsetneq \mathcal{Reg}$  Because their languages are finite!
- ›  $\mathcal{CF} = \mathcal{TOHTN} \subsetneq \mathcal{NOOP-HTN} \subsetneq \mathcal{HTN} \subsetneq \mathcal{CSL}$

Note: There are more special cases that were considered in literature.

# Complexities

## Complexity of HTN Planning (General Case)

$\text{PLANEX}_{\text{HTN}} = \{\langle \mathcal{P} \rangle \mid \mathcal{P} \text{ is a solvable HTN planning problem.}\}$

**Theorem w12.1 (Erol et al. (1996), Thm. 1)**

*$\text{PLANEX}_{\text{HTN}}$  is undecidable*

**Proof.**

We reduce from the (undecidable) CF grammar intersection problem.

Given the CF grammars  $G$  and  $G'$ , construct HTN problem to answer  $L(G) \cap L(G') \stackrel{?}{\neq} \emptyset$  using the following decision procedure:

- › Construct an HTN planning problem  $\mathcal{P}$  that has a solution if and only if the correct answer to the grammar/language intersection problem is yes.
- › Translate the production rules to decomposition methods in a way that only words in both  $L(G)$  and  $L(G')$  can be produced.
- › Our desired primitive task network  $tn$  contains only one executable linearization

$\omega = \omega_1, \omega_2, \dots, \omega_{2n-1}, \omega_{2n}$ :

- $\omega^1 = \omega_1, \omega_3, \dots, \omega_{2n-1}$ ,  $|\omega^1| = n$ , and  $\omega^1 \in L(G)$
- $\omega^2 = \omega_2, \omega_4, \dots, \omega_{2n}$ ,  $|\omega^2| = |\omega^1|$ , and  $\omega^2 \in L(G')$

- › Encoding given in next slide!





# Reduction, Shown by Example

$$\text{Let } G = ( \overbrace{N = \{H, Q\}}^{\text{non-terminals}}, \overbrace{\Sigma = \{a, b\}}^{\text{terminals}}, \overbrace{R}^{\text{rules}}, \overbrace{H}^{\text{start symbol}} )$$

$$\text{and } G' = ( \overbrace{N' = \{D, F\}}^{\text{non-terminals}}, \overbrace{\Sigma' = \{a, b\}}^{\text{terminals}}, \overbrace{R'}^{\text{rules}}, \overbrace{D}^{\text{start symbol}} ).$$

$$\text{Production rules } R: \quad H \mapsto aQb \quad \quad Q \mapsto aQ \mid bQ \mid a \mid b$$

$$\text{Production rules } R': \quad D \mapsto aFD \mid ab \quad \quad F \mapsto a \mid b$$

$$\delta = \{ a \mapsto (\{v_{\text{turn}:G}\}, \{v_{\text{turn}:G'}, v_a\}, \{v_{\text{turn}:G}\}),$$

$$\mathcal{P} = ( \{v_{\text{turn}:G}, v_{\text{turn}:G'}, v_a, v_b\}, \overbrace{\{H, Q, D, F\}}^C, \overbrace{\{a, b, a', b'\}}^P, \delta, M, \overbrace{\{v_{\text{turn}:G}\}}^{\text{initial state}}, tn_I, \overbrace{\{v_{\text{turn}:G}\}}^{\text{goal description}} )$$

$$b \mapsto (\{v_{\text{turn}:G}\}, \{v_{\text{turn}:G'}, v_b\}, \{v_{\text{turn}:G}\}),$$

$$a' \mapsto (\{v_{\text{turn}:G'}, v_a\}, \{v_{\text{turn}:G}\}, \{v_{\text{turn}:G'}, v_a\}),$$

$$b' \mapsto (\{v_{\text{turn}:G'}, v_b\}, \{v_{\text{turn}:G}\}, \{v_{\text{turn}:G'}, v_b\}) \}$$

$$M = M(G) \cup M(G') \text{ (translated production rules of } G \text{ and } G')$$

$$tn_I = ( \underbrace{\{t, t'\}}_T, \underbrace{\emptyset}_{\prec}, \underbrace{\{t \mapsto H, t' \mapsto D\}}_{\alpha} )$$

# Complexity of HTN Planning

$\text{PLANEX}_{\text{TOHTN}} = \{\langle \mathcal{P} \rangle \mid \mathcal{P} \text{ is a solvable TO HTN planning problem.}\}$

Theorem w12.2 (Erol et al. (1996), Thm. 4, Alford et al. (2016), Thm. 5.1)

$\text{PLANEX}_{\text{TOHTN}}$  is **EXPTIME**-complete.

Proof.

Membership:

- › Dynamic programming procedure that does a bottom-up analysis.
- › Check all pairs of (state,task,state) for executability/decomposability.
- › Details covered in the tutorial!

Hardness:

- › Reduction from a polyspace-bounded ATM.  
(We know **AP** = **PSPACE**, but it also holds: **APSPACE** = **EXPTIME**)
- › Proof skipped.



# Conclusion

## Conclusion on HTN planning

- › HTN planning is classical planning plus a grammar to filter solutions.
- › HTN planning is both more expressive and more complex than classical planning.
- › HTN planning is undecidable in general, but restrictions on the hierarchy or ordering make it simpler.
- › Recall one interesting result from week 10: Delete-relaxed HTN planning is **NP**-complete, although even the shortest solution may be exponential.

# Conclusion of the Course

- The first few weeks we were investigating the “expressivity” of various kinds of machine models. Noteworthy are:
  - the languages from the Chomsky Hierarchy (and the Pumping lemmas)
  - the classes  $\mathcal{R}$ ,  $\mathcal{RE}$ ,  $\text{non-}\mathcal{RE}$
- The last weeks we were investigating the “computational complexity” of various languages. Noteworthy are:
  - The investigated complexity classes and their relationship. (Which are known?)
  - The difference between **NP** and **co-NP**.
  - The difference of membership, hardness, completeness – and reductions.

# Final Remarks

- › Don't forget that:
  - We have about 8 (internationally known) AI Planning experts at the ANU. (In case you want to do a PhD or research project.)
  - Many (most?) in the Foundations group (might) have theory-heavy research projects to offer.
- › **Please take part in SELT.** (No matter whether you liked it or not.)
- › Keep monitoring the forum! Read all questions/answers !!
- › I hope you enjoyed the course!
- › Good luck in the exam! (And your other exams.)

**Thank you** for taking this course!

A **special Thank you** to those who attended in person! :)